

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>B41N 1/14</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/16988</b> <b>(43) International Publication Date:</b> 30 March 2000 (30.03.00)
<b>(21) International Application Number:</b> PCT/US99/21974 <b>(22) International Filing Date:</b> 21 September 1999 (21.09.99) <b>(30) Priority Data:</b> 60/101,229 21 September 1998 (21.09.98) US <b>(71) Applicant:</b> R/H CONSULTING, INC. [US/US]; 755 New Ludlow Road, South Hadley, MA 01075 (US). <b>(72) Inventors:</b> RORKE, Thomas, P.; 24 Princeton Street, Holyoke, MA 01040 (US). D'AMATO, Richard, J.; 19 Chapel Hill Drive, South Hadley, MA 01075 (US). <b>(74) Agents:</b> CARLSON, Steven, A. et al.; Sampson & Associates, P.C., Suite 519, 50 Congress Street, Boston, MA 02109 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> LITHOGRAPHIC PRINTING PLATES FOR USE WITH LASER IMAGING APPARATUS		
<b>(57) Abstract</b> <p>Provided is a lithographic printing plate comprising a support substrate (106) having disposed thereon an ablative-absorbing layer (102) and, optionally, a durable, ink-accepting surface layer (100) that is not ablative-absorbing. The ablative-absorbing layer may contain a high weight per cent of an organic sulfonic acid component. The printing plate may further comprise a hydrophilic polymeric layer (104) interposed between the ablative-absorbing layer and the substrate. The printing plate also comprises a primer layer underlying the ablative-absorbing layer with an adhesion-promoting agent, such as a zirconium compound, present in the primer layer. Also provided are methods of preparing such lithographic printing plates, and methods of preparing imaged lithographic printing plates by imagewise exposure to a laser and a subsequent cleaning step with water or with a cleaning solution.</p> <div data-bbox="597 1171 1437 1428"> </div>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**Best Available Copy**

## LITHOGRAPHIC PRINTING PLATES FOR USE WITH LASER IMAGING APPARATUS

15

### RELATED APPLICATION

This application claims priority to U.S. Provisional Patent Application Serial No. 60/101,229, titled "Lithographic Printing Plates For Use With Laser Imaging Apparatus" filed on September 21, 1998.

20

### FIELD OF THE INVENTION

The present invention relates in general to lithography and more particularly to systems for imaging lithographic printing plates using digitally controlled laser output. More specifically, this invention relates to a novel lithographic printing plate especially suitable for directly imaging and utilizing with a wet lithographic printing press.

30

BACKGROUND OF THE INVENTION

Traditional techniques for introducing a printed image onto a recording material include letterpress printing, gravure printing, and offset lithography. All of these printing methods require a plate. To transfer ink in the pattern of the image, the plate is usually loaded onto a plate cylinder of a rotary press for efficiency. In letterpress printing, the image pattern is represented on the plate in the form of raised areas that accept ink and transfer it onto the recording medium by impression. Gravure printing cylinders, in contrast, contain a series of wells or indentations that accept ink for deposit onto the recording medium. Excess ink must be removed from the cylinder by a doctor blade or similar device prior to contact between the cylinder and the recording medium.

The term "lithographic," as used herein, is meant to include various terms used synonymously, such as offset, offset lithographic, planographic, and others. By the term "wet lithographic," as used herein, is meant the type of lithographic printing plate where the printing is based upon the immiscibility of oil and water, wherein the oily material or ink is preferentially retained by the image area and the water or fountain solution is preferentially retained by the non-image area. When a suitably prepared surface is moistened with water and an ink is then applied, the background or non-image area retains the water and repels the ink while the image area accepts the ink and repels the water. The ink on the image area is then transferred to the surface of a material upon which the image is to be reproduced, such as paper, cloth, and the like. Commonly the ink is transferred to an intermediate material called the blanket, which in turn transfers the ink to the surface of the material upon which the image is to be reproduced. In a dry lithographic printing system that does not utilize water, the plate is simply inked and the image transferred directly onto a recording material or transferred onto a blanket and then to the recording material.

Aluminum has been used for many years as a support for lithographic printing plates. In order to prepare the aluminum for such use, it is typically subject to both a graining process and a subsequent anodizing process. The graining process serves to

improve the adhesion of the image to the plate and to enhance the water-receptive characteristics of the background areas of the printing plate. The graining and anodizing affect both the performance and the durability of the printing plate. Both mechanical and electrolytic graining processes are well known and widely used in the manufacture of lithographic printing plates. Processes for anodizing aluminum to form an anodic oxide coating and then hydrophilizing the anodized surface by techniques such as silication are also well known in the art, and need not be further described herein. The aluminum support is thus characterized by having a porous, wear-resistant hydrophilic surface, which specifically adapts it for use in lithographic printing, particularly where long press runs are required.

The plates for an offset press are usually produced photographically. The aluminum substrate described above is typically coated with a wide variety of radiation-sensitive materials suitable for forming images for use in the lithographic printing process. Any radiation-sensitive layer is suitable which, after exposure and any necessary developing and/or fixing, provides an image, which can be used for printing. Lithographic printing plates of this type are usually developed with an aqueous alkaline developing solution, which often additionally comprises a substantial quantity of an organic solvent.

To prepare a wet plate using a typical negative-working subtractive process, the original document is photographed to produce a photographic negative. This negative is placed on an aluminum plate having a water-receptive oxide surface coated with a photopolymer. Upon exposure to light or other radiation through the negative, the areas of the coating that received radiation (corresponding to the dark or printed areas of the original) cure to a durable oleophilic state. The plate is then subjected to a developing process that removes the uncured areas of the coating (i.e., those which did not receive radiation, corresponding to the non-image or background areas of the original), thereby exposing the hydrophilic surface of the aluminum plate.

Throughout this application, various publications, patents, and published patent applications are referred to by an identifying citation. The disclosures of the publications,

patents, and published patent applications referenced in this application are hereby incorporated by reference into the present disclosure to more fully describe the state of the art to which this invention pertains.

5 As is evident from the above description, photographic platemaking processes tend to be time consuming and require facilities and equipment adequate to support the necessary chemistry. Efforts have been made for many years to manufacture a printing plate, which does not require development or which only uses water for development. In addition, practitioners have developed a number of electronic alternatives to plate  
10 imaging, some of which can be utilized on-press. With these systems, digitally controlled devices alter the ink-receptivity of blank plates in a pattern representative of the image to be printed. Such imaging devices include sources of electromagnetic radiation, produced by one or more laser or non-laser sources, that create chemical changes on plate blanks (thereby eliminating the need for a photographic negative); ink jet equipment that directly  
15 deposits ink-repellent or ink-accepting spots on plate blanks; and spark-discharge equipment, in which an electrode in contact with or spaced closely to a plate blank produces electrical sparks to physically alter the topology of the plate blank, thereby producing "dots" which collectively form a desired image (see, e.g., U.S. Pat. No. 4,911,075). Because of the ready availability of laser equipment and its amenability to  
20 digital control, significant effort has been devoted to the development of laser-based imaging systems. These systems include:

1) Argon-ion, frequency-doubled Nd-YAG and infrared lasers used to expose photosensitive blanks for traditional chemical processing, as for example described in  
25 U.S. Pat. Nos. 3,506,779; 4,020,762; 4,868,092; 5,153,236; 5,372,915; and 5,629,354. In an alternative to this approach, a laser has been employed to selectively remove, in an imagewise pattern, an opaque coating that overlies a photosensitive plate blank. The plate is then exposed to a source of radiation, with the unremoved material acting as a mask that prevents radiation from reaching underlying portions of the plate, as for  
30 example described in U.S. Pat. No. 4,132,168.

Best Available Copy

However, the need for high writing speeds, coupled with the constraint of the low-powered lasers favored by industry, has resulted in a requirement for printing plates that have a very high photosensitivity. Unfortunately, high photosensitivity almost always reduces the shelf life of these plates.

5

2) Another approach to laser imaging uses thermal-transfer materials, as for example described in U.S. Pat. Nos. 3,945,318; 3,962,513; 3,964,389; 4,395,946; and 5,395,729. With these systems, a polymer sheet transparent to the radiation emitted by the laser is coated with a transferable material. The transfer side of this construction is brought into contact with an acceptor sheet, and the transfer material is selectively irradiated through the transparent layer. Irradiation causes the transfer material to adhere preferentially to the acceptor sheet. The transfer and acceptor materials exhibit different affinities for fountain solution and/or ink, so that removal of the transparent polymer sheet with the unirradiated transfer material still on it leaves a suitably imaged, finished plate. Typically, the transfer material is oleophilic, and the acceptor material is hydrophilic.

Plates produced with transfer type systems tend to exhibit short useful lifetimes due to the limited amount of material that can effectively be transferred. Airborne dirt can create an image quality problem depending on the particular construction. In addition, because the transfer process involves melting and resolidification of material, image quality further tends to be visibly poorer than that obtainable with other methods.

3) Other patents describe lithographic printing plates comprising a support and a hydrophilic imaging layer which, upon imagewise laser exposure, becomes oleophilic in the exposed areas while remaining hydrophilic in the unexposed areas, as for example disclosed in U.S. Pat. Nos. 3,793,033; 4,034,183; 4,081,572; and 4,693,958. However, these types of lithographic printing plates suffer from the lack of a sufficient degree of discrimination between oleophilic image areas and hydrophilic non-image areas, with the result that image quality on printing is poor.

30

Best Available Copy

4) Early examples utilizing lasers used the laser to etch away material from a plate blank to form an intaglio or letterpress pattern, as for example described in U.S. Pat. Nos. 3,506,779 and 4,347,785. This approach was later extended to production of lithographic plates, e.g., by removal of a hydrophilic surface to reveal an oleophilic underlayer, as for example described in U.S. Pat. No. 4,054,094. These early systems generally required high-power lasers, which are expensive and slow.

More recently, other infrared laser ablation based systems for imaging hydrophilic plates have been developed. These operate by laser-mediated removal of organic hydrophilic polymers, which are coated onto an oleophilic substrate such as a polyester/metal laminate or onto an oleophilic polymer coating on a metal support. Use of these materials between the ablation coating and the heat absorbing metal support provides a thermal barrier material which reduces the amount of laser energy required to ablate or physically transform the hydrophilic surface layer, as for example described in U.S. Pat. Nos. 5,339,737; 5,351,617; 5,353,705; 5,379,698; 5,385,092; 5,440,987; 5,487,338; 5,540,150; 5,551,341; and 5,638,753; and in Canadian Pat. No. 1,050,805. Laser output either ablates one or more plate layers, or physically transforms, the oleophobic or hydrophilic surface layer, in either case resulting in an imagewise pattern of features on the plate.

20

One problem with this approach is that the hydrophilic non-image areas are not sufficiently durable to permit long printing runs, and are easily scratched. Also, the hydrophilic coatings are not like the traditional hydrophilic grained and anodized surfaces and generally are considered outside the mainstream of conventional printing. One other disadvantage of these plates is that they are negative working, since the portions removed by ablation are the image regions that accept ink. When lasers with a large spot size are used for imaging, the size of the smallest printed dot is as large as the spot size. Consequently, the image quality on printing is not high. For example, a 35 micron laser spot size would print its smallest dot size at 35 microns with a negative working plate. On a 200 lines per inch (lpi) halftone screen, this is equivalent to a 5% to 6% dot.

30

Best Available Copy



U.S. Pat. No. 5,493,971 extends the benefit of the traditional grained metal plate to ablative laser imaging and also provides the advantage of a positive working wet lithographic plate. These plates are positive working since the portions not removed by ablation are the image regions that accept ink. This construction includes a grained metal substrate, a hydrophilic protective coating which also serves as an adhesion-promoting primer, and an ablatable oleophilic surface layer. The imaging laser interacts with the ablatable surface layer, causing an ablation thereof. When lasers with a large spot size are used for imaging, the size of the smallest printed dot can be very small since the large spot size laser beam can be programmed to remove material around a very small area. Although the smallest hole in a solid printed area is large, this does not seriously affect print quality since very small holes in solids tend to fill in with ink. Consequently, the image quality on printing is high. After imaging, the plate is then cleaned with a suitable solvent, e.g., water, revealing the hydrophilic adhesion-promoting primer or the hydrophilic metal substrate. After cleaning, the plate behaves like a conventional grained metal plate on the printing press.

However, adhesion of the remaining oleophilic surface coating to the hydrophilic protective layer has proven a difficult problem to overcome. Loss of adhesion can result in a major loss of image quality. Small dots and type are often removed during development or early in the print run. Efforts to improve the adhesion of the ablatable surface coating and/or its durability to permit longer printing runs typically leads to a significant increase in the laser energy required to image the plate.

U.S. Pat. No. 5,605,780 describes a lithographic printing plate comprising an anodized aluminum support having thereon an oleophilic image-forming layer comprising an infrared-absorbing agent dispersed in a film-forming cyanoacrylate polymer binder. The hydrophilic protective layer has been eliminated. The '780 patent describes low required laser energy, good ink receptivity, good adhesion to the support, and good wear characteristics. Print runs of more than 8,200 impressions are shown in the examples.

Best Available Copy

Despite the many efforts directed to the development of a laser imageable wet lithographic printing plate, there still remains a need for plates that require no alkaline or solvent developing solution, that look and perform like a conventional lithographic printing plate on press, that are sensitive to a broad spectrum of laser energy (700 nm to 1150 nm), that provide a high resolution image, and that will be long running on press (greater than 100,000 impressions).

### SUMMARY OF THE INVENTION

One aspect of the present invention pertains to a positive working, wet lithographic printing member imageable by laser radiation comprising (a) an ink-accepting surface layer characterized by the absence of ablative absorption of the laser radiation, (b) a second layer underlying the surface layer, which second layer comprises one or more polymers and is characterized by the ablative absorption of the laser radiation, and (c) a hydrophilic substrate; wherein the second layer comprises greater than 13 weight per cent of an organic sulfonic acid component based on the total weight of polymers present in the second layer. The term "printing member," as used herein, is synonymous with the term "plate" and pertains to any type of printing member or surface capable of recording an image defined by regions exhibiting differential affinities for ink and/or fountain solution. As used herein, for the purpose of determining the weight per cent of the organic sulfonic acid component, the term "polymers" includes all the materials which are polymeric film formers, including monomeric species which polymerize or combine with a polymeric species, such as, for example, a monomeric crosslinking agent, to form the polymeric film component of the ablative-absorbing layer. In one embodiment, the organic sulfonic acid component is an aromatic sulfonic acid, preferably p-toluenesulfonic acid (PTSA). In one embodiment, the organic sulfonic acid component is a component of an amine-blocked organic sulfonic acid.

In one embodiment, the organic sulfonic acid component is present in an amount of 15 to 75 weight per cent of the total weight of polymers present in the ablative-absorbing layer of the printing member of the present invention. In another embodiment,

Rest Available Copy

the organic sulfonic acid component is present in an amount of 20 to 45 weight per cent of the total weight of polymers present in the ablative-absorbing layer.

5 In one embodiment, the thickness of the surface layer of the printing member of this invention is from about 0.1 to about 20 microns. In a preferred embodiment, the thickness of the surface layer is from about 0.1 to about 2 microns.

In one embodiment, the surface layer of the printing member of the present invention comprises a polymer and a crosslinking agent. Suitable polymers in the surface  
10 layer include, but are not limited to, polyurethanes, epoxy polymers, nitrocellulose, and polycyanoacrylates. In one embodiment, the crosslinking agent in the surface layer is a melamine. In one embodiment, the surface layer of the printing member of this invention further comprises an organic sulfonic acid component. In a preferred embodiment, the organic sulfonic acid component in the surface layer is a component of an amine-blocked  
15 p-toluenesulfonic acid.

In one embodiment, the surface layer of the printing member of this invention is further characterized by being not soluble in water or in a cleaning solution. The term "cleaning solution," as used herein, pertains to a solution used to clean or remove the  
20 residual debris from the laser-ablated region of the print member of this invention and may comprise water, solvents, and combinations thereof, including buffered water solutions, as described in U.S. Pat. 5,493,971. In a preferred embodiment, the surface layer is further characterized by being not soluble in water or in a cleaning solution and by durability on a wet lithographic printing press.

25

In one embodiment, the ablative-absorbing second layer of the printing member of the present invention is ink-accepting. In one embodiment, the ablative-absorbing second layer is further characterized by not accepting ink and by accepting water on a wet lithographic printing press.

30

Best Available Copy

In one embodiment, the thickness of the ablative-absorbing second layer of the printing member of this invention is from about 0.1 to about 20 microns. In a preferred embodiment, the thickness of the ablative-absorbing second layer is from about 0.1 to about 2 microns.

5

In one embodiment, the ablative-absorbing second layer of the printing member of this invention comprises an infrared sensitizer. In one embodiment, the infrared sensitizer in the ablative-absorbing second layer is a carbon black. In a preferred embodiment, the carbon black of the infrared sensitizer of the ablative-absorbing layer comprises a sulfonate group on the surface of the carbon black, and most preferably the carbon black is CAB-O-JET 200. In one embodiment, one or more polymers of the ablative-absorbing second layer of the printing member of the present invention further is a crosslinking agent. Suitable polymers in the ablative-absorbing second layer include, but are not limited to, nitrocellulose; polycyanoacrylates; polyurethanes; polyvinyl alcohols; polyvinyl acetates; polyvinyl chlorides; and copolymers and terpolymers thereof. In one embodiment, one or more of the polymers of the ablative-absorbing second layer is a hydrophilic polymer. In one embodiment, the crosslinking agent of the ablative-absorbing second layer is a melamine.

10

In one embodiment, the ablative-absorbing second layer of the printing member of this invention is characterized by being not soluble in water or in a cleaning solution.

Suitable substrates for this aspect of the printing member of the present invention are hydrophilic and include, but are not limited to, metals, papers, and polymeric films.

25

Suitable polymeric films for the substrate include, but are not limited to, polyesters, polycarbonates, and polystyrene. In one embodiment, the polymeric film of the substrate is treated to make it hydrophilic. In one embodiment, the substrate is a polyester film, preferably a polyethylene terephthalate film. Suitable metals for the substrate include, but are not limited to, aluminum, copper, chromium, and steel. In a

30

preferred embodiment, the metal of the substrate is grained, anodized, silicated, or a combination thereof. In a preferred embodiment, the substrate is aluminum.

Another aspect of the present invention pertains to a positive working, wet lithographic printing member imageable by laser radiation comprising (a) an ink-accepting surface layer characterized by the absence of ablative absorption of the laser radiation, as described herein; (b) a second layer under the surface layer, which second layer comprises one or more polymers and is characterized by the ablative absorption of the laser radiation, as described herein; (c) a hydrophilic third layer underlying the second layer, which third layer is characterized by the absence of ablative absorption of the laser radiation; and (d) a substrate; wherein the second layer comprises greater than 13 weight per cent of an organic sulfonic acid component, as described herein, based in the total weight of polymers present in the second layer. In one embodiment, the thickness of the third layer of the printing member of this invention is from about 1 to about 40 microns. In one embodiment, the thickness of the third layer is from about 2 to about 25 microns.

In one embodiment, the hydrophilic third layer of the printing member of the present invention comprises a hydrophilic polymer and a crosslinking agent. Suitable hydrophilic resins for the third layer include, but are not limited to, polyvinyl alcohols and cellulose. In a preferred embodiment, the hydrophilic polymer of the third layer is polyvinyl alcohol. In one embodiment, the crosslinking agent is a zirconium compound such as, for example, ammonium zirconyl carbonate.

In one embodiment, the hydrophilic third layer of the printing member of this invention is characterized by being not soluble in water or in a cleaning solution. In one embodiment, the hydrophilic third layer is characterized by being not excessively soluble in water or in a cleaning solution.

Suitable substrates for this aspect of the printing member of the present invention, which printing member comprises a hydrophilic polymeric or third layer interposed between the ablative-absorbing layer and the substrate, are either hydrophilic or non-hydrophilic/ink-accepting and include, but are not limited to, metals, papers, and

Best Available Copy

polymeric films. Suitable polymeric films for the substrate include, but are not limited to, polyesters, polycarbonates, and polystyrene. In one embodiment, the polymeric film of the substrate is treated to make it hydrophilic. In one embodiment, the substrate is a polyester film, preferably a polyethylene terephthalate film. Suitable metals for the substrate include, but are not limited to, aluminum, copper, chromium, and steel. In a preferred embodiment, the metal of the substrate is grained, anodized, silicated, or a combination thereof. In a preferred embodiment, the substrate is aluminum.

One aspect of the present invention pertains to a positive working, wet lithographic printing member imageable by laser radiation comprising (a) an ink-accepting surface layer characterized by the absence of ablative absorption of the laser radiation, as described herein; (b) a second layer underlying the surface layer, which second layer comprises one or more polymers and is characterized by the ablative absorption of the laser radiation, as described herein; and (c) a hydrophilic substrate, as described herein; wherein interposed between the second layer and the hydrophilic substrate is a primer layer comprising an adhesion-promoting agent. The primer layer is characterized by the absence of ablative absorption of the laser radiation. In one embodiment, the primer layer is characterized by being hydrophilic, by the absence of ablative absorption of the laser radiation, by being not ablated by the ablative absorption of the second or ablative-absorbing layer, and by being not soluble in water. In one embodiment, the primer layer is further characterized by being not removed by the ablative absorption of the second layer followed by a cleaning step solution with water or a cleaning solution to remove any residue of the ablative absorption of the second layer from the surface of the primer layer.

25

In one embodiment, the adhesion-promoting agent of the primer layer comprises a zirconium compound. In one embodiment, the adhesion-promoting agent of the primer layer comprises ammonium zirconyl carbonate. In one embodiment, the adhesion-promoting agent of the primer layer comprises zirconium propionate. In one embodiment, the adhesion-promoting agent of the primer layer comprises zirconium

30

oxide. In one embodiment, the primer layer is an inorganic gel layer, preferably an inorganic gel layer comprising a zirconium oxide gel.

In another embodiment, the adhesion-promoting agent of the primer layer  
5 comprises an organic sulfonic acid component, preferably an aromatic sulfonic acid, and more preferably p-toluenesulfonic acid. In one embodiment, the organic sulfonic acid component in the primer layer interposed between the ablative-absorbing second layer and the hydrophilic substrate is present in an amount of 2 to 100 weight per cent of the primer layer, preferably in an amount of 50 to 100 weight per cent of the primer layer,  
10 and most preferably in an amount of 80 to 100 weight per cent of the primer layer.

In one embodiment, the thickness of the primer layer interposed between the second layer and the substrate is from about 0.01 to about 2 microns, and preferably from about 0.01 to about 0.1 microns.

15. Another aspect of the present invention pertains to a positive working, wet lithographic printing member imageable by laser radiation comprising (a) an ink-accepting surface layer characterized by the absence of ablative absorption of the laser radiation, as described herein; (b) a second layer underlying the surface layer, which  
20 second layer comprises one or more polymers and is characterized by the ablative absorption of the laser radiation, as described herein; (c) a hydrophilic third layer underlying the second layer, which third layer is characterized by the absence of ablative absorption of the laser radiation, as described herein; and (d) a substrate, as described herein; wherein interposed between the second and the third layer is a primer layer  
25 comprising an adhesion-promoting agent. The primer layer is characterized by the absence of ablative absorption of the laser radiation. In one embodiment, the primer layer is characterized by being hydrophilic, by the absence of ablative absorption of the laser radiation, by being not ablated by the ablative absorption of the second or ablative-absorbing layer, and by being not soluble in water. In one embodiment, the primer layer  
30 is further characterized by being not removed by the ablative absorption of the second

Best Available Copy

layer followed by a cleaning solution to remove any residue of the ablative absorption of the second layer from the surface of the primer layer.

In one embodiment, the adhesion-promoting agent of the primer layer comprises a  
5 zirconium compound. In one embodiment, the adhesion-promoting agent of the primer  
layer comprises ammonium zirconyl carbonate. In one embodiment, the adhesion-  
promoting agent of the primer layer comprises zirconium propionate. In one  
embodiment, the adhesion-promoting agent of the primer layer comprises zirconium  
oxide. In one embodiment, the primer layer is an inorganic gel layer, preferably an  
10 inorganic gel layer comprising a zirconium oxide gel. In another embodiment, the  
adhesion-promoting agent of the primer layer comprises an organic sulfonic acid  
component, preferably an aromatic sulfonic acid. In one embodiment, the organic  
sulfonic acid component in the primer layer interposed between the second and the third  
layer is present in an amount of 2 to 100 weight per cent of the primer layer, preferably in  
15 an amount of 50 to 100 weight per cent of the primer layer, and most preferably in an  
amount of 80 to 100 weight per cent of the primer layer.

In one embodiment, the thickness of the primer layer interposed between the  
second and the third layer is from about 0.01 to about 2 microns, and preferably from  
20 about 0.01 to about 0.1 microns.

Another aspect of the present invention pertains to methods of preparing a  
positive working, wet lithographic printing member imageable by laser radiation, as  
described herein.

25

In a preferred embodiment, the method of preparing a positive working, wet  
lithographic printing member imageable by laser radiation comprises (a) providing a  
grained and anodized metal substrate, (b) coating a hydrophilic polymer layer on the  
substrate, which polymer layer comprises a hydrophilic polymer and a crosslinking agent  
30 and subsequently curing the polymer layer, (c) coating an intermediate layer over the  
polymer layer, which intermediate layer comprises an ablative-absorbing sensitizer, a

Best Available Copy



hydrophilic polymer, and a crosslinking agent, and subsequently curing the intermediate layer to form an ablative-absorbing layer, and (d) coating an ink-accepting surface layer over the intermediate layer, which surface layer comprises a polymer and a crosslinking agent, and subsequently curing to form a thin durable ink-accepting surface layer;

5 wherein the intermediate layer further comprises greater than 13 weight per cent of an organic sulfonic acid component based on the total weight of polymers present in the second layer. In a more preferred embodiment, the surface layer of the printing member further comprises an organic sulfonic acid component.

10 Yet another aspect of this invention pertains to methods of preparing an imaged wet lithographic printing plate comprising (a) providing a positive working, wet lithographic printing member, as described herein; (b) exposing the printing member to a desired imagewise exposure of laser radiation to ablate part of the ink-accepting surface layer of the printing member and to ablate a part of the ablative-absorbing second layer of

15 the printing member to form a residual composite layer on the hydrophilic substrate or, alternatively, on the hydrophilic third layer if one is present; and (c) cleaning the residual composite layer from the hydrophilic substrate or, alternatively, from the hydrophilic third layer if one is present underlying the ablative-absorbing second layer of the printing member, which cleaning is done with water or with a cleaning solution; wherein the ink-

20 accepting surface layer of the printing member is not soluble in water or in the cleaning solution.

The lithographic printing members of this invention are positive working plates. The second layer, which is ablative absorptive, and the surface layer, which is ink-

25 accepting, oleophilic, hydrophobic, and durable, are ablated and substantially completely removed in a post-imaging cleaning step in the regions exposed to the laser radiation so that the non-exposed regions serve as the ink-transferring surface in lithographic printing. After imaging, in a preferred embodiment, when a hydrophilic third layer is present underlying the ablative-absorbing second layer, a crosslinked hydrophilic polymeric third

30 layer remains on the plate in the laser imaged areas, along with a quantity of ablation by-products or residual composite layer, typically loosely bound to the hydrophilic third

Best Available Copy

layer. The hydrophilic third layer enhances the clean-up of the by-product or residual composite layer, since it is much easier to remove from the hydrophilic third layer than from a hydrophilic substrate, such as a grained and anodized aluminum surface. One advantage of the present invention is that the lithographic printing member or plate can be used to print immediately, since fountain solution will easily clean the ablation debris or residual composite layer from the plate. In the course of a long printing run, the hydrophilic third layer, when present, typically is not solubilized, and non-hydrophilic substrates may be utilized. Optionally, the hydrophilic third layer may only very slowly solubilize, and hydrophilic substrates are then preferred so that, if the hydrophilic third layer is removed by solubilization, the hydrophilic substrate is uncovered underneath. In this latter case, the printing characteristics of the non-image areas are not affected since one hydrophilic layer is merely exchanged for another. On the other hand, the hydrophilic third layer under the non-exposed image areas of the present invention provides an excellent adhesion primer for this image layer since it is nearly impossible to undercut through solubilization, particularly when the hydrophilic third layer is crosslinked.

The superiority of the lithographic printing member of the present invention over those previously known is particularly manifest in its ability to be imaged rapidly with relatively inexpensive diode lasers with large spot sizes, its ease of cleaning, its excellent image resolution and printing quality, its resistance to water, alkali, and solvents which provides excellent durability and image adhesion on the printing press, and its low cost of manufacture.

The presence of greater than 13 weight per cent of an organic sulfonic acid component based on the total polymers present in the ablative-absorbing second layer and, optionally, the presence of an organic sulfonic acid component in the ink-accepting surface layer, in the hydrophilic third layer when present, and in a primer layer when present, significantly enhances the combination of high laser sensitivity, high image resolution, ease of cleaning the residual composite layer formed in the laser-exposed areas, and the excellent durability, adhesion, and water and fountain solution resistance of

the ink-accepting image areas on the printing press that are desired in lithographic printing utilizing direct imaging by lasers.

Yet another aspect of the present invention pertains to a positive working, wet lithographic printing member comprising an ablative-absorbing layer as an ink-accepting surface layer, wherein the ablative-absorbing layer comprises greater than 13 weight per cent of an organic sulfonic acid component, as described herein, based on the total weight of polymers present in the ablative-absorbing layer. The high weight per cent of an organic sulfonic acid component in the ablative-absorbing surface layer provides the lithographic printing member with the combined benefits of rapid imaging, ease of cleaning the residual non-ablated debris in the laser imaged areas, excellent image resolution and quality, and resistance to water for excellent durability and image adhesion on the printing press, but without requiring the additional non-ablative absorbing, ink-accepting overcoat surface layer of other aspects of this invention. Thus, another aspect of the present invention pertains to a positive working, wet lithographic printing member imageable by laser radiation comprising (a) an ink-accepting surface layer, which surface layer comprises one or more polymers and is characterized by the ablative absorption of laser radiation, as described herein; (b) ,optionally, a hydrophilic polymeric layer, which hydrophilic polymeric layer underlies the surface layer and is characterized by the absence of ablative absorption of the laser radiation, as described herein; and, (c) a substrate, as described herein; wherein the surface layer further comprises greater than 13 weight per cent of an organic sulfonic acid component based on the total weight of polymers present in the surface layer.

Further, still another aspect of the present invention pertains to a positive working, wet lithographic printing member imageable by laser radiation comprising (a) an ink-accepting surface layer, which surface layer comprises one or more polymers and is characterized by the ablative absorption of the laser radiation, as described herein; (b) ,optionally, a hydrophilic polymeric layer, which hydrophilic polymeric layer underlies the surface layer and is characterized by the absence of ablative absorption of the laser radiation, as described herein; and, (c) a substrate, as described herein; wherein

Best Available Copy

interposed between the hydrophilic polymeric layer and the surface layer is a primer layer comprising an adhesion-promoting agent. The primer layer is characterized by the absence of ablative absorption of the laser radiation. In one embodiment, the primer layer is characterized by being hydrophilic, by the absence of ablative absorption of the laser radiation, by being not ablated by the ablative absorption of the surface or ablative-absorbing layer, and by being not soluble in water. In one embodiment, the primer layer is further characterized by being not removed by the ablative absorption of the surface layer followed by a cleaning step with water or a cleaning solution to remove any residue of the ablative absorption of the surface layer from the surface of the primer layer. In one embodiment, the adhesion-promoting agent of the primer layer comprises a zirconium compound. In one embodiment, the adhesion-promoting agent of the primer layer comprises ammonium zirconyl carbonate. In one embodiment, the adhesion-promoting agent of the primer layer comprises zirconium propionate. In one embodiment, the adhesion-promoting agent of the primer layer comprises zirconium oxide. In one embodiment, the primer layer is an inorganic gel layer, preferably an inorganic gel layer comprising a zirconium oxide gel. In another embodiment, the adhesion-promoting agent of the primer layer comprises an organic sulfonic acid component, preferably an aromatic sulfonic acid. In one embodiment, the organic sulfonic acid component in the primer layer interposed between the hydrophilic polymeric layer and the ablative-absorbing surface layer is present in the amount of 2 to 100 weight per cent of the primer layer, preferably in an amount of 50 to 100 weight per cent of the primer layer, and most preferably in an amount of 80 to 100 weight per cent of the primer layer. In one embodiment, in addition to the presence of the primer layer, the ablative-absorbing surface layer further comprises greater than 13 weight per cent of an organic sulfonic acid component based on the total weight of polymers present in the ablative-absorbing surface layer.

Yet another aspect of this invention pertains to methods of preparing an imaged wet lithographic printing member comprising (a) providing a positive working, wet lithographic printing member comprising an ink-accepting surface layer, which surface layer comprises one or more polymers and is characterized by the ablative absorption of

laser radiation, as described herein, (b) exposing the printing member to a desired imagewise exposure of laser radiation to ablate part of the ablative-absorbing surface layer of the printing member to form a residual composite layer on the hydrophilic substrate or, alternatively, on the hydrophilic polymeric second layer if one is present, and  
5 (c) cleaning the residual composite layer from the hydrophilic substrate or, alternatively, from the hydrophilic polymeric second layer if one is present underlying the surface layer of the printing member, which cleaning is done with water or with a cleaning solution; wherein the ablative-absorbing, ink-accepting surface layer of the printing member is not soluble in water or in the cleaning solution.

10

As one of skill in the art will appreciate, features of one embodiment and aspect of the invention are applicable to other embodiments and aspects of the invention.

The above-discussed and other features and advantages of the present invention  
15 will be appreciated and understood by those skilled in the art from the following detailed description and drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

20 The foregoing discussion will be understood more readily from the following detailed description of the invention when taken in conjunction with the accompanying drawings.

Figure 1 shows an enlarged cross-sectional view of a lithographic plate of the  
25 present invention having an ink-accepting surface layer, an ablative-absorbing second layer, a hydrophilic third layer, and a support substrate.

Figures 2A and 2B show enlarged cross-sectional views of the lithographic plate of Figure 1: (A) after imaging; and (B) after cleaning.

30

Best Available Copy

Figure 3 shows an enlarged cross-sectional view of an alternative embodiment of a lithographic plate in accordance with the present invention having an ink-accepting surface layer, an ablative-absorbing second layer, and a hydrophilic support substrate.

5 Figure 4 shows an enlarged cross-sectional view of an alternative embodiment of a lithographic plate of this invention having an ablative-absorbing, ink-accepting surface layer, an hydrophilic polymeric second layer, and a support substrate.

10 Figure 5 shows an enlarged cross-sectional view of an alternative embodiment of a lithographic plate of the present invention having an ablative-absorbing, ink-accepting surface layer and a hydrophilic support substrate.

#### DETAILED DESCRIPTION OF THE INVENTION

##### Organic Sulfonic Acids

15 One aspect of the present invention pertains to the use of organic sulfonic acids in a positive working, wet lithographic printing member imageable by laser radiation, particularly the use of large amounts of an organic sulfonic acid component in the  
20 ablative-absorbing layer of the printing member.

For example, as described in present applicants' U.S. Provisional Pat. Application, Serial No. 60/072,358 titled "Lithographic Printing Plates for Use with  
25 Laser Discharge Imaging Apparatus," filed on January 23, 1998, about 5.4 weight per cent of p-toluenesulfonic acid (PTSA) component in NACURE 2530, a trademark for an amine-blocked organic sulfonic acid catalyst available from King Industries, Norwalk, CT, based on the total weight of polymers present was utilized in the ablative-absorbing second layer. This PTSA-based catalyst assisted in the curing of the CYMEL 303, a  
30 trademark for melamine crosslinking agents available from Cytec Corporation, Wayne, NJ, AIRVOL 125, a trademark for polyvinyl alcohol polymers available from Air

Best Available Copy

Products, Allentown, PA, and UCAR WBV-110, a trademark for a vinyl copolymer water-based dispersion available from Union Carbide Corporation, Danbury, CT, polymers that constitute the polymeric film-forming materials in the ablative-absorbing second layer. To calculate the weight per cent of organic sulfonic acid component in the ablative-absorbing layer of the present invention, the weight of organic sulfonic acid component (p-toluenesulfonic acid constitutes 25 per cent by weight of NACURE 2530 in the examples in the above-mentioned provisional patent application and in the examples of the present invention) is divided by the total dry weight of polymers present (in this example, the combined weight of CYMEL 303, AIRVOL 125, and UCAR WBV-110). In this example, the weight of p-toluenesulfonic acid is the weight of NACURE 2530 (1.2 parts by weight) multiplied by 0.25 to give 0.3 parts by weight of p-toluenesulfonic acid. The combined weight of polymers is calculated by adding the parts by dry weight of AIRVOL 125 (2.20 parts by weight), UCAR WBV-110 (2.10 parts by weight), and CYMEL 303 (1.21 parts by weight) for a total of 5.51 parts by weight. Dividing the weight of the p-toluenesulfonic acid (0.3 parts by weight) by this combined total of polymers present (5.51 parts by weight) and multiplying by 100 to convert to per cent by weight gives 5.4 weight per cent for the weight per cent of the organic sulfonic acid component in the ablative-absorbing layer for this example.

Surprisingly, it has been found that significantly increased levels of an organic sulfonic acid component, such as the p-toluenesulfonic acid in NACURE 2530, in the ablative-absorbing layer to weight per cents greater than 13% of the total weight of polymers present provide significant improvements in the ease of cleaning the laser-exposed areas, in the durability and adhesion of the ink-accepting areas of the plate during long press runs, in the sensitivity to the laser radiation, and in the fine image resolution and printing quality that can be achieved. These weight per cents of greater than 13 weight per cent of the total weight of polymers present are higher than the levels of organic sulfonic acid catalysts typically utilized to accelerate the curing of coatings. These benefits from high levels of organic sulfonic acid components may be obtained without any significant disadvantages, such as loss in resistance to solubilization by water, by the fountain solution, or by a cleaning solution.

Best Available Copy

In addition to the benefits of increased levels of an organic sulfonic acid component in the ablative-absorbing second layer of the lithographic printing member, the concomitant presence of an organic sulfonic acid component in the ink-accepting surface layer of the printing member may provide further increased benefits.

In one embodiment, the organic sulfonic acid component is present in a primer layer between the ablative-absorbing second layer and either the hydrophilic third layer or, alternatively, between the ablative-absorbing second layer and a hydrophilic substrate when no hydrophilic third layer is present in the product construction. The levels of organic sulfonic acid component in the primer layer may vary widely and include, but are not limited to, the range of 2 to 100 weight per cent of the primer layer. The benefits of the organic sulfonic acid component in the primer layer of the present invention are similar to those achieved with the increased levels of an organic sulfonic acid component in the ablative-absorbing layer.

The term "organic sulfonic acid," as used herein, refers to organic compounds that have at least one sulfonic acid moiety,  $-\text{SO}_3\text{H}$ , covalently bonded to a carbon atom of the organic compound. The term "organic sulfonic acid component," as used herein, pertains to free organic sulfonic acids and also pertains to the free organic sulfonic acids formed when a blocked or latent organic sulfonic acid catalyst, is decomposed, such as by heat or by radiation, to form a free or unblocked organic sulfonic acid to catalyze the desired curing reaction, as is well known in the art. The weight of the free organic sulfonic acid that may be obtained from the blocked or latent organic sulfonic acid catalyst is used herein to calculate the weight per cent of the organic sulfonic acid component based on the total weight of polymers present in the ablative-absorbing coating layer. As is well known in the art, the blocked organic sulfonic acid catalysts may be an adduct or complex of an organic sulfonic acid with a complexing material, such as an amine, and the molar ratios of the organic sulfonic acid and the complexing material may vary widely, such as, for example, from 1.0:0.5 to 1.0:2.0. Alternatively, the blocked organic sulfonic acid catalysts may be a reaction product of an organic sulfonic acid with a suitable material,



such as, for example, with an alcohol to provide the blocked catalyst in the form of an ester of an organic sulfonic acid. A wide variety of blocked or latent organic sulfonic acid catalysts are known and may be utilized in the present invention to provide the organic sulfonic acid component. Examples of suitable blocked or latent organic sulfonic acid catalysts that provide suitable organic sulfonic acid components include, but are not limited to, amine-blocked organic sulfonic acids such as, for example, described in U.S. Pat. Nos. 4,075,176; 4,200,729; 4,632,964; 4,728,545; 4,812,506; 5,093,425; 5,187,019; 5,681,890; and 5,691,002; esters of an organic sulfonic acid as, for example, described in U.S. Pat. Nos. 4,192,826; 4,323,660; 4,331,582; 4,618,564; 5,102,961; 5,364,734; and 5,716,756; reaction products of an organic sulfonic acid and a glycidamide as, for example, described in U.S. Pat. No. 4,839,427; and amides of an organic sulfonic acid as, for example, described in U.S. Pat. No. 4,618,526. Instead of the free or unblocked organic sulfonic acid in the coating solutions to be applied to a substrate, the blocked or latent organic sulfonic acid catalysts are typically utilized to crosslink coatings in order to provide a stable shelf life to the coating solution by reducing the viscosity buildup due to premature crosslinking and because of the better coating uniformity and water resistance often obtained in the finished coating layers.

A wide variety of organic sulfonic acid components are known and may be utilized in the present invention. Examples of suitable organic sulfonic acid components include, but are not limited to, organic sulfonic acids having a  $pK_a$  below 4, such as, for example, p-toluenesulfonic acid, dodecylbenzenesulfonic acid, dinonylnaphthalene sulfonic acid, tridecylbenzene sulfonic acid, methane sulfonic acid, polystyrene sulfonic acid, and dodecylbenzenedisulfonic acid. In one embodiment, the organic sulfonic acid component of the present invention is an aromatic sulfonic acid. In a preferred embodiment, the organic sulfonic acid component is p-toluenesulfonic acid (PTSA).

In one embodiment, the organic sulfonic acid component of the present invention is a component of a blocked or latent organic sulfonic acid catalyst, preferably an amine-blocked organic sulfonic acid. The term "amine," as used herein, pertains to ammonia, as well as to aliphatic primary, secondary, and tertiary amines, including heterocyclic amines

Best Available Copy

having a saturated ring. In one embodiment, the amine-blocked organic sulfonic acid is an amine-blocked aromatic sulfonic acid. In a preferred embodiment, the amine-blocked organic sulfonic acid is an amine-blocked p-toluenesulfonic acid, such as, for example, NACURE 2530.

5

The amounts of organic sulfonic acid components typically used to catalyze polymer curing in coating layers is in the range of 0.1 to 12 weight per cent based on the total weight of polymers present, exclusive of pigments. Preferred amounts are typically less than 5 weight per cent with about 1 weight per cent or less being particularly preferred. For example, U.S. Pat. No. 4,728,545 discloses a preferred range for the amine-blocked organic sulfonic acid catalyst of from 0.01 to 3.0% by weight of the total solid content of the coating composition exclusive of pigments. Since the organic sulfonic acid component is less than 100% of the weight of the amine-blocked catalyst, the preferred range for the organic sulfonic acid component in the '545 patent is even below 0.01 to 3.0% by weight. The '545 patent describes greater than 3.0% by weight of amine-blocked organic sulfonic acid catalyst as adversely affecting the appearance, strength, and other properties of the resulting film when the organic sulfonic acid component remains therein at high concentrations.

10

15

20

#### Lithographic Printing Members with Hydrophilic Third Layers

Referring now to Figure 1, which illustrates a preferred embodiment of a lithographic printing member in accordance with the present invention, the printing member comprises an ink-accepting and durable surface layer 100, an ablative-absorbing second layer 102, a hydrophilic third layer 104, and a support substrate 106. Each of these layers is discussed in more detail below.

25

#### Ink-Accepting Surface Layers

The primary characteristics of ink-accepting surface layer 100 are its oleophilicity and hydrophobicity, resistance to solubilization by water and solvents, and durability on

30

Best Available Copy

the printing press. Suitable polymers utilized in this layer should have relatively low decomposition temperatures to assist in the heat-induced ablative imaging initiated in the ablative-absorbing second layer 102, excellent adhesion to the ablative-absorbing second layer 102, and high wear resistance. They can be either water-based or solvent-based polymers. Ink-accepting surface layer 100 should also, upon imaging, produce environmentally and toxicologically innocuous decomposition by-products. This layer also may include a crosslinking agent which provides improved bonding to the ablative-absorbing second layer 102 and increased durability of the plate for extremely long print runs.

10

Suitable polymers include, but are not limited to, polyurethanes, nitrocellulose, polycyanoacrylates, and epoxy polymers. For example, polyurethane based materials are typically extremely tough and may have thermosetting or self-curing capability. An exemplary coating layer may be prepared by mixing and coating methods known in the art, for example, wherein a mixture of polyurethane polymer and hexamethoxymethylmelamine crosslinking agent in a suitable solvent, water, or solvent-water blend is combined, followed by the addition of a suitable amine-blocked p-toluenesulfonic acid catalyst to form the finished coating mix. The coating mix is then applied to the ablative-absorbing second layer 102 using one of the conventional methods of coating application, such as wire wound rod coating, reverse roll coating, gravure coating, and slot die coating, and subsequently dried to remove the volatile liquids and to form a coating layer.

20

Polymeric systems containing components in addition to polyurethane polymers may also be combined to form the ink-accepting surface layer 100. For example, an epoxy polymer may be added to a polyurethane polymer in the presence of a crosslinking agent and a catalyst.

25

Ink-accepting surface layer 100 is coated in this invention typically at a thickness in the range of from about 0.1 microns to about 20 microns and more preferably in the

30

Best Available Copy

range of from about 0.1 to about 2 microns. After coating, the layer is dried and preferably cured at a temperature of between 145 °C and 165 °C.

#### Ablative-Absorbing Second Layers

5 The primary characteristics of ablative-absorbing second layer 102 are vulnerability or sensitivity to ablation using commercially practicable laser imaging equipment, and sufficient adhesion to the hydrophilic third layer 104 and the ink-accepting surface layer 100 to provide long running plates and retention of small 2% and 10 3% dots in halftone images while running on press. It is also preferable that the ablative-absorbing second layer 102 produces environmentally and toxicologically innocuous decomposition by-products upon ablation. Vulnerability to laser ablation ordinarily arises from strong absorption in the wavelength region in which the imaging laser emits. It is also advantageous to use polymers having relatively low decomposition temperatures to 15 assist in the heat-induced ablative imaging. Adhesion to the hydrophilic third layer 104 is dependent in part upon the chemical structure and the amount of the material that absorbs the laser radiation and the bonding sites available on the polymers in the ablative-absorbing second layer 102. It is important that the bonding by the polymers in the ablative-absorbing second layer 102 is strong enough to provide adequate adhesion to the 20 hydrophilic third layer 104, but is easily weakened during laser ablation and subsequently provides ease of cleaning of the residual debris layer in the ablated areas from the hydrophilic third layer 104. For example, vinyl-type polymers, such as polyvinyl alcohol, strike an appropriate balance between these two properties. Alternatively, nitrocellulose by itself or in combination with vinyl-type polymers provides a high degree of 25 vulnerability to ablation. Suitable coatings may be formed by incorporating a solvent dispersible carbon black into the coating. For example, a base coating mix is formed by admixture of all components, such as 6 sec. RS Nitrocellulose available from Aqualon Co., Wilmington, DE; VULCAN XC 72R, a trademark for carbon black pigments available from Cabot Corporation, Bedford, MA; CYMEL 303 30 hexamethoxymethylmelamine crosslinking agent; and a crosslinking catalyst which is subsequently added to the base coating mix just prior to the coating application operation.

Best Available Copy

For example, improved adhesion to the hydrophilic third layer 104 as well as easy cleaning after imaging is provided by use of AIRVOL 125 polyvinyl alcohol incorporated into the ablative-absorbing second layer 102. Crosslinking agents may also be added.

5           A radiation-absorbing compound or sensitizer is added to the composition of the ablative-absorbing second layer 102 and dispersed therein. When the laser radiation is of an infrared wavelength, a variety of infrared-absorbing compounds are known and may be utilized as the radiation-absorbing sensitizer in the present invention. Of the infrared sensitizers evaluated, CAB-O-JET 200, a trademark for surface modified carbon black  
10       pigments available from Cabot Corporation, Bedford, MA, surprisingly least affected the adhesion to the hydrophilic third layer 104 at the amounts required to give adequate sensitivity for ablation. In other words, CAB-O-JET 200 has good ablative-sensitizing properties, and also allows enhanced adhesion to the hydrophilic third coating layer 104.

15       The results obtained with CAB-O-JET 200 were better than those obtained with a related compound, CAB-O-JET 300. The CAB-O-JET series of carbon black products are unique aqueous pigment dispersions made with novel surface modification technology, as, for example, described in U.S. Pat. Nos. 5,554,739 and 5,713,988. Pigment stability is achieved through ionic stabilization. The surface of CAB-O-JET 300  
20       has carboxyl groups, while that of CAB-O-JET 200 contains sulfonate groups. No surfactants, dispersion aids, or polymers are typically present in the dispersion of the CAB-O-JET materials. CAB-O-JET 200 is a black liquid, having a viscosity of less than about 10 cP (Shell #2 efflux cup); a pH of about 7; 20% (based on pigment) solids in water; a stability (i.e., no change in any physical property) of more than 3 freeze-thaw  
25       cycles at -20 °C, greater than six weeks at 70 °C, and more than 2 years at room temperature; and a mean particle size of 0.12 microns, with 100% of the particles being less than 0.5 microns. Significantly, CAB-O-JET 200 also absorbs across the entire infrared spectrum. Suitable coatings may be formed by known mixing and coating methods, for example, wherein a base coating mix is formed by first mixing all the  
30       components, such as water; 2-butoxyethanol; AIRVOL 125 polyvinyl alcohol; UCAR WBV-110 vinyl copolymer; CYMEL 303 hexamethoxymethylmelamine crosslinking

Best Available Copy

agent; and CAB-O-JET 200 carbon black, except for not including any crosslinking catalyst. To extend the stability of the coating formulation, any crosslinking agent, such as NACURE 2530, is subsequently added to the base coating mix or dispersion just prior to the coating application. The coating mix or dispersion may be applied by any of the known methods of coating application, such as, for example, wire wound rod coating, reverse roll coating, gravure coating, and slot die coating. After drying to remove the volatile liquids, a solid coating layer is formed.

The ablative-absorbing second layer 102 comprises one or more polymers. In one embodiment, the ablative-absorbing layer 102 comprises a crosslinking agent. Suitable polymers include, but are not limited to, nitrocellulose; polycyanocrylates; polyurethanes; polyvinyl alcohols; polyvinyl acetates; polyvinyl chlorides; and copolymers and terpolymers thereof. In one embodiment, one or more polymers of the ablative-absorbing second layer 102 is a hydrophilic polymer. In one embodiment, the crosslinking agent of the ablative-absorbing second layer 102 is a melamine.

A particular aspect of the present invention is the presence of an organic sulfonic acid catalyst in the ablative-absorbing second layer 102 at levels higher than those typically used for catalyst purposes, such as, for example, 0.01 to 12 weight per cent based on the total weight of polymers present in the coating layer for conventional crosslinked coatings.

For example, in the aforementioned U.S. Pat. No. 5,493,971, NACURE 2530 is present in Examples 1 to 8 as a catalyst for the thermoset-cure of an ablative-absorbing surface layer. By assuming that the NACURE 2530 used in these examples in the '971 patent contained the same 25% by weight of p-toluenesulfonic acid as reported by the manufacturer for the lots of NACURE 2530 used in the examples of the present invention, calculation of the weight per cent of the p-toluenesulfonic acid component in the ablative-absorbing surface layer of the '971 patent may be done by multiplying the weight of NACURE 2530 (4 parts by weight) by 0.25 to give 1.0 parts by weight and then dividing the 1.0 parts by weight by the combined dry weight of the polymers present

Best Available Copy

(13.8 parts by weight in Examples 1 to 7 and 14.0 parts by weight in Example 8) to give 7.2 weight per cent (Examples 1 to 7 of the '971 patent) and 7.1 weight per cent (Example 8 of the '971 patent).

5 In one aspect of the present invention, the ablative-absorbing second layer 102 comprises greater than 13 weight per cent of an organic sulfonic acid component based on the total weight of polymers present in the ablative absorbing second layer. In one embodiment, the organic sulfonic acid component is an aromatic sulfonic acid. In a preferred embodiment, the organic sulfonic acid component is p-toluenesulfonic acid, 10 such as, for example, present as a component of the amine-blocked p-toluenesulfonic acid, NACURE 2530.

In one embodiment, the organic sulfonic acid component is present in an amount of 15 to 75 weight per cent of the total weight of polymers present in the ablative- 15 absorbing second layer 102. In a preferred embodiment, the organic sulfonic acid component is present in an amount of 20 to 45 weight per cent of the total weight of polymers present in the ablative-absorbing second layer 102.

Ablative-absorbing second layer 102 is typically coated at a thickness in the range 20 of from about 0.1 to about 20 microns and more preferably in the range of from about 0.1 to about 2 microns. After coating, the layer is dried and subsequently cured at a temperature between 135 °C and 185 °C for between 10 seconds and 3 minutes and more preferably cured at a temperature between 145 °C and 165 °C for between 30 seconds to 2 minutes.

25 In one embodiment, the ablative-absorbing second layer 102 of the printing member of the present invention is ink-accepting. Examples of an ink-accepting, ablative-absorbing second layer are illustrated in Examples 1 and 6 of the present invention.

30

Best Available Copy

In another embodiment, the ablative-absorbing second layer 102 is further characterized by not accepting ink and by accepting water on a wet lithographic printing press, as illustrated in Example 5 of this invention.

5 In one embodiment, the ablative-absorbing second layer 102 of the printing member of the present invention is characterized by being not soluble in water or in a cleaning solution.

#### Hydrophilic Third Layers

10

Hydrophilic third layer 104 provides a thermal barrier during laser exposure to prevent heat loss and possible damage to the substrate 106, when the substrate is a metal, such as aluminum. It is hydrophilic so that it may function as the background hydrophilic or water-loving area on the imaged wet lithographic plate. It should adhere well to the support substrate 106 and to the ablative-absorbing second layer 102. In general, polymeric materials satisfying these criteria include those having exposed polar moieties such as hydroxyl or carboxyl groups such as, for example, various cellulose modified to incorporate such groups, and polyvinyl alcohol polymers.

20 Preferably, the hydrophilic third layer 104 withstands repeated application of fountain solution during printing without substantial degradation or solubilization. In particular, degradation of the hydrophilic third layer 104 may take the form of swelling of the layer and/or loss of adhesion to both the ablative-absorbing second layer 102 and/or to the substrate 106. This swelling and/or loss of adhesion may deteriorate the printing quality and dramatically shorten the press life of the lithographic plate. One test of withstanding the repeated application of fountain solution during printing is a wet rub resistance test, as described in Examples 1 to 6 of this invention. Satisfactory results for withstanding the repeated application of fountain solution and not being excessively soluble in water or in a cleaning solution, as defined herein for the present invention, are the retention of the 3% dots in the wet rub resistance test, as described and illustrated in Examples 1 to 6 of this invention.

Best Available Copy



To provide insolubility to water, for example, polymeric reaction products of polyvinyl alcohol and crosslinking agents such as glyoxal, zinc carbonate, and the like are well known in the art. For example, the polymeric reaction products of polyvinyl alcohol and hydrolyzed tetramethylorthosilicate or tetraethylorthosilicate are described in U.S. Pat. No. 3,971,660. Suitable polyvinyl alcohol-based coatings may be obtained by mixing and coating methods known in the art by combining, for example, AIRVOL 125 polyvinyl alcohol; BACOTE 20, a trademark for an ammonium zirconyl carbonate solution available from Magnesium Elektron, Flemington, NJ; glycerol, available from Aldrich Chemical, Milwaukee, WI; and TRITON X-100, a trademark for a surfactant available from Rohm & Haas, Philadelphia, PA.

In one embodiment, the hydrophilic third layer 104 of the printing member of the present invention comprises a hydrophilic polymer and a crosslinking agent. Suitable hydrophilic polymers for the hydrophilic third layer 104 include, but are not limited to, polyvinyl alcohol and cellulose. In a preferred embodiment, the hydrophilic polymer of the third layer is polyvinyl alcohol. In one embodiment, the crosslinking agent is a zirconium compound, preferably ammonium zirconyl carbonate.

In one embodiment, the hydrophilic third layer 104 is characterized by being not soluble in water or in a cleaning solution. In another embodiment, the hydrophilic third layer 104 is characterized by being not excessively soluble in water or in a cleaning solution.

Hydrophilic third layer 104 is coated in this invention typically at a thickness in the range of from about 1 to about 40 microns and more preferably in the range of from about 2 to about 25 microns. After coating, the layer is dried and subsequently cured at a temperature between 135 °C and 185 °C for between 10 seconds and 3 minutes and more preferably at a temperature between 145 °C and 165 °C for between 30 seconds and 2 minutes.

Best Available Copy

Substrates

Suitable substrates for support substrate 106 may be a number of different substrates, including those known in the art as substrates for lithographic printing plates, such as, for example, metals, papers, and polymeric films. Since the hydrophilic third layer 104 is typically not soluble in water, in a cleaning solution, or in the fountain solution, and further is not ablated during the imaging, the substrate does not need to be hydrophilic to provide the discrimination between the ink-accepting or non-hydrophilic image areas of the surface layer and the water-accepting or hydrophilic background areas of the plate needed for wet lithographic printing. The term, "hydrophilic," as used herein, pertains to the property of a material or a composition of materials that allows it to preferentially retain water or a water-based fountain solution in wet lithographic printing while the non-hydrophilic, ink-accepting materials or composition of materials on the surface of the plate preferentially retain the oily material or ink. Thus, the substrate 106 either may be hydrophilic or may be non-hydrophilic/ink-accepting when a hydrophilic polymeric layer such as layer 104 is interposed between the ablative-absorbing layer and the substrate.

Suitable metals include, but are not limited to, aluminum, copper, steel, and chromium, preferably that have been rendered hydrophilic through graining or other treatments. The printing members of this invention preferably use an anodized aluminum support substrate. Examples of such supports include, but are not limited to, aluminum which has been anodized without prior graining, aluminum which has been grained and anodized, and aluminum which has been grained, anodized, and treated with an agent effective to render the substrate hydrophilic, for example, treatment to form a silicate layer. It is preferred in this invention to use aluminum which has been grained, anodized, and treated with a hydrophilic material.

A wide variety of papers may be utilized. Typically, these papers have been treated or saturated with a polymeric treatment to improve dimensional stability, water resistance, and strength during the wet lithographic printing. Examples of suitable

polymeric films include, but are not limited to, polyesters such as polyethylene terephthalate and polyethylene naphthalate, polycarbonates, polystyrene, polysulfones, and cellulose acetate. A preferred polymeric film is polyethylene terephthalate film, such as, for example, the polyester films available under the trademarks of MYLAR and  
5 MELINEX polyester films from E. I. duPont de Nemours Co., Wilmington, DE. Where the polymeric film substrate is not hydrophilic, these supports may further comprise a hydrophilic surface formed on at least one surface of the support such as, for example, a hydrophilic coating layer comprising a hydrophilic material applied to the polymeric film, such as, for example, to polyethylene terephthalate film or to other polymeric films that  
10 are not intrinsically hydrophilic or that may benefit from a special hydrophilic surface added to the substrate. Preferred thicknesses for support substrate 106 range from 0.003 to 0.02 inches, with thicknesses in the range of 0.005 to 0.015 inches being particularly preferred.

15 Lithographic Printing Plates With Hydrophilic Third Layers and Primer Layers:

Referring to Figure 1, another aspect of the present invention and its utilization of organic sulfonic acids to enhance the laser imaging sensitivity, printing quality, cleanability, press durability, ink-accepting image adhesion, and fine dot resolution of  
20 lithographic printing plates is the incorporation of a primer layer interposed between the ablative-absorbing second layer 102 and the hydrophilic third layer 104, wherein the primer layer comprises an adhesion-promoting agent, in which the primer layer is characterized by the absence of ablative absorption of the laser radiation. Suitable adhesion-promoting agents include, but are not limited to, organic sulfonic acid  
25 components, zirconium compounds, titanates, and silanes. In one embodiment, the organic sulfonic acid component of the adhesion-promoting agent in the primer layer is an aromatic sulfonic acid. In a preferred embodiment, the organic sulfonic acid component of the adhesion-promoting agent in the primer layer is p-toluenesulfonic acid.

30 In one embodiment, the organic sulfonic acid component in the primer layer interposed between the ablative-absorbing second layer 102 and the hydrophilic third

Best Available Copy

layer 104 is present in an amount of 2 to 100 weight per cent of the primer layer, preferably in an amount of 50 to 100 weight per cent of the primer layer, and most preferably in an amount of 80 to 100 weight per cent of the primer layer.

5 In one embodiment, the thickness of the primer layer interposed between the ablative-absorbing second layer 102 and the hydrophilic third layer 104 is from about 0.01 to about 2 microns, and preferably from about 0.01 to about 0.1 microns.

When this primer layer comprising an organic sulfonic acid component is present,  
10 the increased levels of an organic sulfonic acid component in the ablative-absorbing second layer 102 of the present invention may not be necessary to provide the multiple benefits desired, and the level of an organic sulfonic acid component in the ablative-absorbing second layer 102 may be less than 13 weight per cent of the total weight of the polymers present in the ablative-absorbing second layer or may even be negligible.  
15 However, it is suitable to use a combination of the primer layer and the ablative-absorbing second layer 102 comprising greater than 13 weight per cent of an organic sulfonic acid component of the present invention.

In one embodiment, the adhesion-promoting agent of the primer layer is  
20 ammonium zirconyl carbonate such as, for example, BACOTE 20. BACOTE 20 is a zirconia sol from Magnesium Elektron, Inc., with a weight equivalent of 21% zirconium oxide, which has been modified by the addition of 10% zirconium nitrate hydrate. The cured residue of an applied BACOTE 20 solution is reported to be water-insoluble and to have excellent adhesion to chrome substrates and photopolymer coatings in photopolymer  
25 coated lithographic printing plates and may also have hydrophilic properties depending on the overlying coating, as described in U.S. Pat. Nos. 4,522,912 and 4,581,285. In another embodiment, the adhesion-promoting agent of the primer layer is zirconium propionate. Other suitable zirconium compounds in the primer layer of the present invention include, but are not limited to, those zirconium-based adhesion promoters described in "The Use  
30 of Zirconium in Surface Coatings," Application Information, Sheet 117 (Provisional), by P.J. Moles, Magnesium Elektron, Inc., Flemington, NJ. In one embodiment, the primer

layer is characterized by being hydrophilic, by the absence of ablative absorption of the laser radiation, by being not ablated by the ablative absorption of the second or ablative-absorbing layer, and by being not soluble in water. In one embodiment, the primer layer is further characterized by being not removed by the ablative absorption of the second  
5 layer followed by a cleaning step with water or a cleaning solution to remove any residue of the ablative absorption of the second layer from the surface of the primer layer. In one embodiment, the adhesion-promoting agent of the primer layer comprises zirconium oxide. In one embodiment, the primer layer is an inorganic gel layer, preferably an inorganic gel layer comprising a zirconium oxide gel.

#### Lithographic Printing Plates Without Hydrophilic Third Layers

An alternative embodiment of a positive working wet lithographic plate is shown in Figure 3, comprising a support substrate 106, an ablative-absorbing layer 130, and an  
15 ink-accepting surface layer 100. The support substrate 106 is hydrophilic. An example of a support layer and ablative-absorbing layer having this configuration, but without an additional ink-accepting surface layer present, is given in the above-referenced U.S. Pat. No. 5,605,780.

20 One aspect of the lithographic printing members of the present invention, that do not comprise a hydrophilic third layer, comprises an ink-accepting surface layer, an ablative-absorbing second layer, and a hydrophilic support substrate. The ink-accepting surface layer and the ablative-absorbing second layer are as described herein for the lithographic printing members of the present invention that do comprise a hydrophilic  
25 third layer overlying the support substrate. The support substrate 106, as shown in Figure 3, is as described for only those support substrates that are hydrophilic, as described for the lithographic printing members of the present invention that do comprise a hydrophilic third layer overlying the support substrate.

30 In particular, the lithographic printing members of the present invention, that do not comprise a hydrophilic third layer overlying the support substrate, share the key

Best Available Copy

aspect of this invention in the presence of large amounts of an organic sulfonic acid component in one or more layers of the printing member. For example, in one aspect of the present invention, the lithographic printing members, that do not comprise a hydrophilic third layer overlying the support substrate, comprise an organic sulfonic acid component present in the ablative-absorbing layer 130 at levels higher than those typically used for catalyst purposes, such as, for example, 0.01 to 12 weight per cent based on the total weight of polymers present in the coating layer for conventional crosslinked coatings. Thus, one aspect of the present invention pertains to a positive working, wet lithographic printing member imageable by laser radiation comprising (a) an ink-accepting surface layer characterized by the absence of ablative absorption of the laser radiation, (b) a second layer underlying the surface layer, which second layer comprises one or more polymers and is characterized by the ablative absorption of the laser radiation, and (c) a hydrophilic substrate, wherein the second layer comprises greater than 13 weight per cent of an organic sulfonic acid component based on the total weight of polymers present in the second layer. In one embodiment, the organic sulfonic acid component is an aromatic sulfonic acid. In a preferred embodiment, the organic sulfonic acid component is p-toluenesulfonic acid, such as, for example, present as a component of the amine-blocked p-toluenesulfonic acid, NACURE 2530.

In one embodiment, the organic sulfonic acid component is present in an amount of 15 to 75 weight per cent of the total weight of polymers present in the ablative-absorbing second layer 130. In a preferred embodiment, the organic sulfonic acid component is present in an amount of 20 to 45 weight per cent of the total weight of polymers present in the ablative-absorbing second layer 130.

Except for the absence of a hydrophilic third layer underlying the ablative-absorbing second layer 130 and overlying the support substrate 106 as described for the lithographic printing members of the present invention that comprise hydrophilic third layers, the other aspects of the coating layers of the lithographic printing member without a hydrophilic third layer, including such aspects as the ink-accepting surface layer and the

ablative-absorbing second layer, are as described herein for the lithographic printing members with hydrophilic third layers.

Referring to Figure 3, still another aspect of the present invention and its  
5 utilization of organic sulfonic acids to enhance the laser imaging sensitivity, printing quality, cleanability, press durability, ink-accepting image adhesion, and fine dot resolution of lithographic printing plates is the incorporation of a primer layer interposed between the ablative-absorbing second layer 130 and the hydrophilic support substrate 106, wherein the primer layer comprises an adhesion-promoting agent, in which the  
10 primer layer is characterized by the absence of ablative absorption of the laser radiation. Suitable adhesion-promoting agents include, but are not limited to, organic sulfonic acid components, zirconium compounds, titanates, and silanes. In one embodiment, the organic sulfonic acid component of the adhesion-promoting agent in the primer layer is an aromatic sulfonic acid. In a preferred embodiment, the organic sulfonic acid  
15 component of the adhesion-promoting agent in the primer layer is p-toluenesulfonic acid.

In one embodiment, the organic sulfonic acid component in the primer layer interposed between the ablative-absorbing second layer 130 and the hydrophilic support substrate 106, as shown in Figure 3, is present in an amount of 2 to 100 weight per cent of  
20 the primer layer, preferably in an amount of 50 to 100 weight per cent of the primer layer, and most preferably in an amount of 80 to 100 weight per cent of the primer layer.

In one embodiment, the thickness of the primer layer interposed between the ablative-absorbing second layer 130 and the hydrophilic support substrate 106 is from  
25 about 0.01 to about 2 microns, and preferably from about 0.01 to about 0.1 microns.

When this primer layer comprising an organic sulfonic acid component is present, the increased levels of an organic sulfonic acid in the ablative-absorbing second layer 130 of the present invention may not be necessary to provide the multiple benefits desired,  
30 and the level of an organic sulfonic acid component in the ablative-absorbing second layer 130 may be less than 13 weight per cent of the total weight of polymers present in

Best Available Copy

the ablative-absorbing second layer or may even be negligible. However, it is suitable to utilize a combination of the primer layer and the ablative-absorbing second layer 130 comprising greater than 13 weight per cent of an organic sulfonic acid component of the present invention.

5 In one embodiment, the zirconium compound of the adhesion-promoting agent of the primer layer is ammonium zirconyl carbonate such as, for example, BACOTE 20. In another embodiment, the zirconium compound of the adhesion-promoting agent of the primer layer is zirconium propionate. Other suitable zirconium compounds in the primer  
10 layer of the present invention include, but are not limited to, those zirconium-based adhesion promoters described in "The Use of Zirconium in Surface Coatings," Application Information, Sheet 117 (Provisional), by P.J. Moles, Magnesium Elektron, Inc., Flemington, NJ. In one embodiment, the primer layer is characterized by being hydrophilic, by the absence of ablative absorption of the laser radiation, by being not  
15 ablated by the ablative absorption of the second or ablative-absorbing layer, and by being not soluble in water. In one embodiment, the primer layer is further characterized by being not removed by the ablative absorption of the second layer followed by a cleaning step with water or a cleaning solution to remove any residue of the ablative absorption of the second layer from the surface of the primer layer. In one embodiment, the adhesion-  
20 promoting agent of the primer layer comprises zirconium oxide. In one embodiment, the primer layer is an inorganic gel layer, preferably an inorganic gel layer comprising a zirconium oxide gel.

25 Lithographic Printing Plates With Hydrophilic Second Layers and With Ablative-Absorbing Surface Layers

An alternative embodiment of a positive working wet lithographic plate is shown in Figure 4, comprising a support substrate 210, a hydrophilic polymeric layer 215, and an  
30 ablative-absorbing, ink-accepting surface layer 220. An example of a support layer, an intermediate polymeric layer, and an ablative-absorbing, ink-accepting layer having this configuration is given in the above-referenced U.S. Pat. No. 5,493,971.



One aspect of the lithographic printing members of the present invention, that do not comprise a non-ablative absorbing surface layer, comprises an ablative-absorbing, ink-accepting surface layer; a hydrophilic polymeric layer; and a support substrate. The support substrate 210 of this aspect of the invention is as described herein for the support substrate 106 of the lithographic printing members with hydrophilic third layers, as illustrated in Figure 1. Similarly, the hydrophilic polymeric layer 215 of this aspect of the invention is as described herein for the hydrophilic third layer 104 of the lithographic printing members with hydrophilic third layers, as illustrated in Figure 1. The ablative-absorbing, ink-accepting surface layer 220 of this aspect of the present invention is as described herein for the ablative-absorbing second layer 102 of the lithographic printing members with hydrophilic third layers, as illustrated in Figure 1, except that there is no non-ablative absorbing, ink-accepting surface layer 100 overlying the ablative-absorbing layer 220.

In particular, the lithographic printing members of the present invention, that do not comprise a non-ablative absorbing surface layer overlying the ablative-absorbing layer, share the key aspect of this invention in the presence of significant amounts of an organic sulfonic acid component in one or more layers of the printing member. For example, in one aspect of the present invention, the lithographic printing member, as illustrated in Figure 4, comprises an organic sulfonic acid component present in the ablative-absorbing layer 220 at levels higher than those typically used for catalyst purposes, such as, for example, 0.01 to 12 weight per cent based on the total weight of polymers present in the coating layer for conventional crosslinked coatings. Thus, one aspect of the present invention pertains to a positive working, wet lithographic printing member imageable by laser radiation comprising (a) an ink-accepting surface layer, which surface layer comprises one or more polymers and is characterized by the ablative absorption of the laser radiation, (b) a hydrophilic polymeric layer underlying said surface layer, and (c) a substrate, wherein the surface layer comprises greater than 13 weight per cent of an organic sulfonic acid component based on the total weight of polymers present in the surface layer. In one embodiment, the organic sulfonic acid component is an

Best Available Copy

aromatic sulfonic acid. In a preferred embodiment, the organic sulfonic acid component is p-toluenesulfonic acid, such as, for example, present as a component of the amine-blocked p-toluenesulfonic acid, NACURE 2530.

5 In one embodiment, the organic sulfonic acid is present in an amount of 15 to 75 weight per cent of the total weight of polymers present in the ablative-absorbing surface layer 220. In a preferred embodiment, the organic sulfonic acid component is present in an amount of 20 to 45 weight per cent of the total weight of polymers present in the ablative-absorbing surface layer 220.

10 Referring to Figure 4, still another aspect of the present invention and its utilization of organic sulfonic acids to enhance the laser imaging sensitivity, printing quality, cleanability, press durability, ink-accepting image adhesion, and fine dot resolution of wet lithographic printing plates is the incorporation of a primer layer  
15 interposed between the ablative-absorbing surface layer 220 and the hydrophilic polymeric layer 215, wherein the primer layer comprises an adhesion-promoting agent, in which the primer layer is characterized by the absence of ablative absorption of the laser radiation. Suitable adhesion-promoting agents include, but are not limited to, organic sulfonic acid components, zirconium compounds, titanates, and silanes. In one  
20 embodiment, the adhesion-promoting agent in the primer layer is an organic sulfonic acid component, preferably an aromatic sulfonic acid, and, more preferably, p-toluenesulfonic acid.

25 In one embodiment, the organic sulfonic acid component in the primer layer interposed between the ablative-absorbing surface layer 220 and the hydrophilic polymeric layer 215 is present in an amount of 2 to 100 weight per cent of the primer layer, preferably in an amount of 50 to 100 weight per cent of the primer layer, and most preferably in an amount of 80 to 100 weight per cent of the primer layer.

Best Available Copy

In one embodiment, the thickness of the primer layer interposed between the ablative-absorbing surface layer 220 and the hydrophilic polymeric layer 215 is from about 0.01 to about 2 microns, and preferably from about 0.01 to about 0.1 microns.

5 When this primer layer comprising an organic sulfonic acid component is present, the increased levels of an organic sulfonic acid in the ablative-absorbing surface layer 220 of the present invention may not be necessary to provide the multiple benefits desired, and the level of an organic sulfonic acid component in the ablative-absorbing surface layer 220 may be less than 13 weight per cent of the total weight of polymers present in  
10 the ablative-absorbing surface layer or may even be negligible. However, it is suitable to utilize a combination of the primer layer and the ablative-absorbing surface layer 220 comprising the greater than 13 weight per cent of an organic sulfonic acid component of the present invention.

15 In one embodiment, the adhesion-promoting agent of the primer layer is ammonium zirconyl carbonate such as, for example, BACOTE 20. In another embodiment, the adhesion-promoting agent of the primer layer is zirconium propionate. Other suitable zirconium compounds in the primer layer of the present invention include, but are not limited to, those zirconium-based adhesion promoters described in "The Use  
20 of Zirconium in Surface Coatings," Application Information, Sheet 117 (Provisional), by P.J. Moles, Magnesium Elektron, Inc., Flemington, NJ. In one embodiment, the primer layer is characterized by being hydrophilic, by the absence of ablative absorption of the laser radiation, by being not ablated by the ablative absorption of the second or ablative-absorbing layer, and by being not soluble in water. In one embodiment, the primer layer  
25 is further characterized by being not removed by the ablative absorption of the second layer followed by a cleaning step with water or a cleaning solution to remove any residue of the ablative absorption of the second layer from the surface of the primer layer. In one embodiment, the adhesion-promoting agent of the primer layer comprises zirconium oxide. In one embodiment, the primer layer is an inorganic gel layer, preferably an  
30 inorganic gel layer comprising a zirconium oxide gel.

Best Available Copy

Lithographic Printing Plates Without Hydrophilic Third Layers and With  
Ablative-Absorbing Surface Layers

An alternative embodiment of a positive working, wet lithographic plate is shown  
5 in Figure 5, comprising a hydrophilic support substrate 210 and an ablative-absorbing,  
ink-accepting surface layer 320. An example of a support layer and ablative-absorbing  
surface layer having this configuration is given in the above-referenced U.S. Pat. No.  
5,605,780.

10 The lithographic printing members of the present invention, that do not comprise  
a hydrophilic third layer and further do not comprise a non-ablative absorbing, ink-  
accepting surface layer, comprise an ablative-absorbing, ink-accepting surface layer and a  
hydrophilic support substrate. The hydrophilic support substrate 210 of this aspect of the  
invention is as described herein for the hydrophilic support substrate 106 of the  
15 lithographic printing members without hydrophilic third layers, as illustrated in Figure 3.  
The ablative-absorbing, ink-accepting layer 320 of this aspect of the present invention is  
as described herein for the ablative-absorbing second layer 130 of the lithographic  
printing members without hydrophilic third layers, as illustrated in Figure 3, except that  
there is not an non-ablation absorbing, ink-accepting surface layer 100 overlying the  
20 ablative-absorbing layer.

In particular, the lithographic printing members of the present invention, that do  
not comprise a hydrophilic third layer overlying the support substrate and further do not  
comprise a non-ablative absorbing surface layer, share the key aspect of this invention in  
25 the presence of large amounts of an organic sulfonic acid component in one or more  
layers of the printing member. For example, in one aspect of this invention, the  
lithographic printing member, as illustrated in Figure 5, comprises an organic sulfonic  
acid component present in the ablative-absorbing layer 320 at a level higher than that  
typically used for catalyst purposes, such as, for example, 0.01 to 12 weight per cent  
30 based on the total weight of polymers present in the coating layer for conventional  
crosslinked coatings. Thus, one aspect of the present invention pertains to a positive

Best Available Copy

working, wet lithographic printing member imageable by laser radiation comprising (a) an ink-accepting surface layer, which surface layer comprises one or more polymers and is characterized by the ablative absorption of the laser radiation, and (b) a hydrophilic substrate; wherein the surface layer comprises greater than 13 weight per cent of an organic sulfonic acid component based on the total weight of polymers present in the surface layer. In one embodiment, the organic sulfonic acid component is an aromatic sulfonic acid. In a preferred embodiment, the organic sulfonic acid component is p-toluenesulfonic acid, such as, for example, present as a component of the amine-blocked p-toluenesulfonic acid, NACURE 2530.

In one embodiment, the organic sulfonic acid component is present in an amount of 15 to 75 weight per cent of the total weight of polymers present in the ablative-absorbing surface layer 320. In a preferred embodiment, the organic sulfonic acid component is present in an amount of 20 to 45 weight per cent of the total weight of polymers present in the ablative-absorbing surface layer 320.

Referring to Figure 5, still another aspect of the present invention and its utilization of organic sulfonic acids to enhance the laser imaging sensitivity, printing quality, cleanability, press durability, ink-accepting image adhesion, and fine dot resolution of wet lithographic printing plates is the incorporation of a primer layer interposed between the ablative-absorbing surface layer 320 and the support substrate 210; wherein the primer layer comprises an adhesion-promoting agent, in which the primer layer is characterized by the absence of ablative absorption of the laser radiation. Suitable adhesion-promoting agents include, but are not limited to, organic sulfonic acid components, zirconium compounds, titanates, and silanes. In one embodiment, the adhesion-promoting agent in the primer layer is an organic sulfonic acid component, preferably an aromatic sulfonic acid, and, more preferably, p-toluenesulfonic acid.

In one embodiment, the organic sulfonic acid component in the primer layer interposed between the ablative-absorbing surface layer 320 and the hydrophilic support substrate 210 is present in an amount of 2 to 100 weight per cent of the primer layer,

Best Available Copy

preferably in an amount of 50 to 100 weight per cent of the primer layer, and most preferably in an amount of 80 to 100 weight per cent of the primer layer.

In one embodiment, the thickness of the primer layer interposed between the  
5 ablative-absorbing surface layer 320 and the hydrophilic support substrate 210 is from about 0.01 to about 2 microns, and preferably from about 0.01 to about 0.1 microns.

When this primer layer comprising an organic sulfonic acid component is present, the increased levels of an organic sulfonic acid component in the ablative-absorbing  
10 surface layer 320 of the present invention may not be necessary to provide the multiple benefits desired, and the level of an organic sulfonic acid component in the ablative-absorbing surface layer 320 may be less than 13 weight per cent of the total weight of polymers present in the ablative-absorbing surface layer or may even be negligible. However, it is preferred to utilize a combination of the primer layer and the ablative-  
15 absorbing surface layer 320 comprising the greater than 13 weight per cent of an organic sulfonic acid component of the present invention.

In one embodiment, the adhesion-promoting agent of the primer layer is ammonium zirconyl carbonate such as, for example, BACOTE 20. In another  
20 embodiment, the adhesion-promoting agent of the primer layer is zirconium propionate. Other suitable zirconium compounds in the primer layer of the present invention include, but are not limited to, those zirconium-based adhesion promoters described in "The Use of Zirconium in Surface Coatings," Application Information, Sheet 117 (Provisional), by P.J. Moles, Magnesium Elektron, Inc., Flemington, NJ. In one embodiment, the primer  
25 layer is characterized by being hydrophilic, by the absence of ablative absorption of the laser radiation, by being not ablated by the ablative absorption of the second or ablative-absorbing layer, and by being not soluble in water. In one embodiment, the primer layer is further characterized by being not removed by the ablative absorption of the second layer followed by a cleaning step with water or a cleaning solution to remove any residue  
30 of the ablative absorption of the second layer from the surface of the primer layer. In one embodiment, the adhesion-promoting agent of the primer layer comprises zirconium

Best Available Copy

oxide. In one embodiment, the primer layer is an inorganic gel layer, preferably an inorganic gel layer comprising a zirconium oxide gel.

### Imaging Apparatus

5

Imaging apparatus suitable for use in conjunction with the present invention include, but are not limited to, known laser imaging devices such as infrared laser devices that emit in the infrared spectrum. Laser outputs can be provided directly to the plate surface via lenses or other beam-guiding components, or transmitted to the surface of a printing plate from a remotely sited laser using a fiber-optic cable. The imaging apparatus can operate on its own, functioning solely as a platemaker, or it can be incorporated directly into a lithographic printing press. In the latter case, printing may commence immediately after application of the image to a blank plate. The imaging apparatus can be configured as a flatbed recorder or as a drum recorder.

15

The laser-induced ablation of the wet lithographic printing plates of the present invention may be carried out using a wide variety of laser imaging systems known in the art of laser-induced ablation imaging, including, but not limited to, the use of continuous and pulsed laser sources, and the use of laser radiation of various ultraviolet, visible, and infrared wavelengths. Preferably, the laser-induced ablation of this invention is carried out utilizing a continuous laser source of near-infrared radiation, such as, for example, with a diode laser emitting at 830 nm.

20

### Imaging Techniques

25

In operation, the plates of the present invention are imaged in accordance with methods well-known to those of ordinary skill in the art. Thus, a lithographic printing plate of the present invention is selectively exposed, in a pattern representing an image, to the output of an imaging laser which is scanned over the plate. Referring to Figure 1, radiative laser output removes and/or damages or transforms the ablative-absorbing

30

Best Available Copy

second layer 102 and the ink-accepting surface layer 100, thereby directly producing on the plate an array of image features or potential image features.

Figures 2A and 2B show this imaging process in greater detail. As shown in  
5 Figure 2A, imaging radiation partially removes layers 100 and 102, leaving residual debris 108 on the hydrophilic third layer 104. The laser-imaged plate is then cleaned with water or fountain solution in order to remove debris 108, thereby exposing the surface of the hydrophilic third layer 104 as shown in Figure 2B. Alternatively, when a primer layer which is characterized by being hydrophilic, by the absence of ablative absorption of the  
10 laser radiation, by being not ablated by the ablative absorption of the ablative-absorbing layer, and by being not soluble in water, is present, the primer layer is the surface on which the residual debris is in contact and which is exposed by the cleaning step, since the primer layer is not removed by the cleaning step. When the plate is imaged and placed on the press without water cleaning, debris 108 is carried by the conveying rollers  
15 back to the bulk source of fountain solution.

Thus, in one aspect of the present invention, a method of preparing an imaged wet lithographic printing plate comprises (a) providing a wet lithographic printing member of the present invention; (b) exposing the printing member to a desired imagewise exposure  
20 of laser radiation to ablate a part of the ink-accepting surface layer and a part of the ablative-absorbing second layer to form a residual debris or residual composite layer on the hydrophilic third or hydrophilic polymeric layer, or alternatively, to form a residual composite layer on the hydrophilic substrate when no hydrophilic third or hydrophilic polymeric layer is present underlying the ablative-absorbing second layer and overlying  
25 the substrate; and (c) cleaning the residual composite layer from the hydrophilic third layer with water or with a cleaning solution, or alternatively, from the hydrophilic substrate when no such hydrophilic third or hydrophilic polymeric layer is present; wherein the ink-accepting surface layer of the printing member is not soluble in water or in the cleaning solution. In one embodiment, in step (b), the residual debris is formed on  
30 the primer layer, and in step (c), cleaning of the residual composite layer is done with water or a cleaning solution from the primer layer.

Best Available Copy



### EXAMPLES

Several embodiments of the present invention are described in the following examples, which are offered by way of description and not by way of limitation.

#### Example 1

Lithographic printing plates in accordance with the invention were prepared using a brush grained, electrochemically etched, and anodized aluminum sheet with a silicate overlayer. The aluminum sheet was coated with a hydrophilic polymeric layer, as illustrated by layer 104 in Figure 1. The following components shown on a dry weight basis for the solids were mixed in water to make a 6.3% by weight solution:

<u>Component</u>	<u>Parts</u>
AIRVOL 125	6.25
BACOTE 20	2.50
Glycerol	0.25
TRITON X-100	0.10

A #18 wire wound rod was used to apply the hydrophilic polymeric coating formulation to the aluminum sheet. After curing this hydrophilic layer containing AIRVOL 125, BACOTE 20, glycerol, and TRITON X-100 for 120 seconds at 145 °C, the following ablative-absorbing second layers were coated using a #4 wire wound rod on the cured hydrophilic polymeric layer and cured for 120 seconds at 145 °C to provide samples with three different ablative-absorbing second layers: A, B, and C. The ablative-absorbing second layer was cured for 120 seconds at 145 °C.

Best Available Copy

	<u>Component</u>	<u>Parts (A)</u>	<u>Parts (B)</u>	<u>Parts (C)</u>
	AIRVOL 125 (5% solids in water)	44.0	44.0	44.0
5	UCAR WBV-110 (48% solids in water)	4.37	4.37	4.37
	2-Butoxyethanol	3.75	3.75	3.75
10	CYMEL 303	1.21	1.21	1.21
	CAB-O-JET 200 (20% solids in water)	14.5	14.5	14.5
15	TRITON X-100 (10% solids in water)	3.60	3.60	3.60
	NACURE 2530 (25% PTSA)	1.20	6.0	10.8
20	Water	27.37	22.57	17.77

An ink-accepting first layer from a water-based formulation was then overcoated using a #3 wire wound rod upon each of the second layers: A, B, and C. Each was then  
25 cured for 120 seconds at 145 °C. The coating formulation was as follows:

	<u>Component</u>	<u>Parts</u>
	WITCOBOND W-240 (30% solids in water)	11.4
30	2-Butoxyethanol	1.0
	CYMEL 303	1.2
35	NACURE 2530	2.4

(25% PTSA)

TRITON X-100  
(10% solids in water)

1.0

5

Water

83

WITCOBOND W-240 is a trademark for aqueous polyurethane dispersions available from Witco Corp., Chicago, IL.

10

Plates with each of the different second layers (A, B, and C), were imaged on a PEARLSETTER 74, a trademark for laser imaging equipment available from Presstek, Inc., Hudson, NH, containing IR laser diodes emitting energy at 870 nm. The laser spot size was 35 microns. The laser energy at the plate surface was approximately 700  
15 mj/cm<sup>2</sup>. Plates were cleaned through an Anitec desktop plate processor using water as the cleaning liquid.

After cleaning with water, the plates were evaluated for ease of cleaning, diode banding, resolution, and wet rub resistance. Diode banding is a measure of the latitude of  
20 the imaging sensitivity due to variations in output among the different IR laser diodes, coating thickness variations, and other variables. A low degree of banding is highly desirable in order to obtain uniform printing images. Resolution is a measure of the finest lines or dots of imaging quality that are achieved on the plate after imaging and post-imaging cleaning. Wet rub resistance is a measure of the finest lines or dots of imaging  
25 quality that are maintained on the plate during press operation and is estimated by measuring the finest lines or dots on the plate that survive 50 wet rubs with a WEBRIL cloth, a trademark for a lint-free cloth available from Veratec Corporation, Walpole, MA, which has been wet with water. The wet rubs each involve a double pass back and forth across the imaged areas so that 50 wet rubs in the wet rub resistance tests of this  
30 invention actually involve a total of 100 passes or wet rubs across the imaged area.

Best Available Copy

In the resolution and wet rub resistance testing of this invention, the image areas are of two types: (1) narrow lines in the form of a series of pixels with the width of the lines based on the number of pixels comprising the width, and (2) half tone dots at 150 lines per inch (lpi) halftone screen imaging. Approximate sizes of these image areas are as follows. One pixel lines are 15 microns wide, and 3 pixel lines are 40 microns wide. 2% Dots are 15 microns in diameter, 3% dots are 20 microns in diameter, 4% dots are 25 microns in diameter, 5% dots are 35 microns in diameter, and 10% dots are 60 microns in diameter. The smaller the widths of the pixel lines and the smaller the diameters of the dot sizes that can be achieved and maintained on the plate are the better for printing quality and press run length with acceptable quality. Thus, achieving a 1 pixel wide line image after cleaning and maintaining the 1 pixel wide line image through the wet rub resistance test is the best result for printing quality. Similarly, achieving a 2% dot image or a dot that is about 15 microns in diameter after cleaning and maintaining the 2% dot image through the wet rub resistance test is the best result for printing quality, and much more desirable compared to maintaining only 5% or 10% dots as the best dot images.

The following summarizes the results:

<u>Plate</u>	<u>Ease of Cleaning</u>	<u>Best Dots Cleaned</u>	<u>Best Dots Wet Rubbed</u>	<u>Banding</u>
"A"	Difficult	2%	3%	Severe
"B"	Good	2%	3%	Moderate
"C"	Washes Easily	2%	3%	Very Slight

The weight per cent of p-toluenesulfonic acid component based on the combined weight of polymers present in the ablative-absorbing second layer was 5.4 weight per cent for Plate A; 27.2 weight per cent for plate B; and 49.0 weight per cent for Plate C. It can be seen that a large amount of p-toluenesulfonic acid component from the NACURE

Best Available Copy

2530 significantly improves the ease of cleaning and decreases the amount of diode banding without any noticeable effect upon resolution.

### Example 2

5

Nitrocellulose-based coatings for the aspect of the present invention with an ablative-absorbing surface layer were prepared to show the effect of increased p-toluenesulfonic acid. Two coatings were prepared as follows:

10	<u>Component</u>	<u>Parts (2A)</u>	<u>Parts (2B)</u>
	2-Butoxyethanol	93.30	84.90
	Nitrocellulose (70% 5-6 sec. RS)	4.58	4.17
15	CYMEL 303	0.40	0.36
	VULCAN VXC 72R	1.32	1.20
20	NACURE 2530 (25% PTSA)	0.40	9.37

Plates were made using the aluminum sheet, hydrophilic third layer, and procedures as described in Example 1 of the present invention except that no ink-accepting first layer was overcoated upon each of the ablative-absorbing layers. Four variations in the cure time of the hydrophilic third layer of from between 30 seconds and 120 seconds at 145 °C were made. Imaging, cleaning, and testing for resolution and wet rub resistance were done as described in Example 1 of this invention. The imager was a Presstek PEARLSETTER 74 with diodes set to provide about 400 mj/cm<sup>2</sup>. Results on the imaged plates are summarized as follows:

30

ExampleExample 2B

Best Available Copy

2A

<u>Cure Time</u>	<u>Test</u>	<u>PIXEL</u>	<u>DOTS</u>	<u>PIXEL</u>	<u>DOTS</u>
30 sec.	Cleaned	1 line	3%	1 line	2%
	50 Rubs Wet	3 lines	10%	1 line	3%
60 sec.	Cleaned	1 line	5%	1 line	3%
	50 Rubs Wet	3 lines	10%	1 line	4%
90 sec.	Cleaned	1 line	5%	1 line	3%
	50 Rubs Wet	3 lines	10%	1 line	3%
120 sec.	Cleaned	1 line	5%	1 line	3%
	50 Rubs Wet	3 lines	10%	1 line	3%

The weight per cent of p-toluenesulfonic acid component based on the combined weight of polymers present in the ablative-absorbing layer was 2.8 weight per cent for Example 2A and 71.4 weight per cent for Example 2B. It can be seen that a large amount of p-toluenesulfonic acid component significantly improves the adhesion of nitrocellulose-based coatings for the ablative-absorbing layer with a subsequent improvement in resolution and wet rub resistance.

Example 3

A nitrocellulose-based coating was prepared as described in Example 1 of U.S. Pat. No. 5,493,971 and was coated with a # 8 wire wound rod upon a cured hydrophilic polyvinyl alcohol-based coated, grained, anodized, and silicated aluminum substrate prepared as described in Example 1 of this invention and cured for 120 seconds at 145 °C. A second similar cured hydrophilic polyvinyl alcohol-based coated, grained, anodized and silicated substrate was coated with NACURE 2530 (25% PTSA) using a smooth rod and dried only. This primed surface was then coated with the nitrocellulose-based coating from U.S. Pat. No. 5,493,971 (Example 1) using a #8 wire wound rod and cured for 120 seconds at 145 °C. Imaging, cleaning, and testing for resolution and wet rub resistance were done as described in Example 1 of this invention. Both plates were

imaged on a Presstek PEARLSETTER 74 imager with diodes set to provide about 400 mj/cm<sup>2</sup>. Results are summarized below:

5	<u>No NACURE Primer</u>		<u>NACURE Primer Layer</u>	
	<u>Pixel</u>	<u>Dots</u>	<u>Pixel</u>	<u>Dots</u>
	Cleaned	1 line 5%	1 line	3%
	50 Rubs Wet	3 lines 10%	1 line	3%

10 It can be seen that a p-toluenesulfonic acid-based primer layer significantly improves the adhesion of nitrocellulose-based coatings for the ablative-absorbing layer as shown by the improvement in resolution and wet rub resistance.

#### Example 4

15 A nitrocellulose-based coating was prepared as described in Example 1 of U.S. Pat. No. 5,493,971 and was coated with a #8 wire wound rod upon a cured hydrophilic polyvinyl alcohol-based coated, grained, anodized, and silicated aluminum substrate prepared as described in Example 1 of this invention and cured for 120 seconds at 145 °C. A second similar cured hydrophilic polyvinyl alcohol-based coated, grained, anodized and silicated substrate was coated with a 0.875% solids coating of BACOTE 20 using a #3 wire wound rod and dried only. This primed surface was then coated with the nitrocellulose-based coating from U.S. Pat. No. 5,493,971 (Example 1) using a #8 wire wound rod and cured for 120 seconds at 145 °C. Imaging, cleaning, and testing for resolution and wet rub resistance were done as described in Example 1 of this invention. Both plates were imaged on a Presstek PEARLSETTER 74 imager with diodes set to provide about 400 mj/cm<sup>2</sup>.

30	<u>No BACOTE Primer</u>		<u>BACOTE Primer Layer</u>	
	<u>Pixel</u>	<u>Dots</u>	<u>Pixel</u>	<u>Dots</u>

Best Available Copy

Cleaned	1 line	5%	1 line	1%
50 Rubs Wet	3 lines	10%	1 line	2%

It can be seen that a primer layer containing ammonium zirconium carbonate  
5 significantly improves the adhesion of nitrocellulose-based coatings with a subsequent improvement in resolution and wet rub resistance.

#### Example 5

10 A lithographic printing plate in accordance with the invention was prepared using a grained and anodized aluminum sheet with a silicate over layer. The aluminum sheet was coated with the hydrophilic third layer as described in Example 1 of the present invention and cured for 120 seconds at 145 °C. The following ablative-absorbing non-ink  
15 accepting second layer was coated on the cured third hydrophilic third layer and cured for 120 seconds at 145° C. BYK 333 is a trademark for a surfactant available from Byk-Chemie USA, Wallingford, CT.

	<u>Component</u>	<u>Parts</u>
20	AIRVOL 125 (5% solids in water)	28.61
	BACOTE 20 (14% solids in water)	4.16
25	Glycerol	0.07
	TRITON X-100 (10% solids in water)	0.23
30	BYK 333 (10% solids in water)	0.33
35	CAB-O-JET 200 (20% solids in water)	33.3

Best Available Copy



NACURE 2530 (25% PTSA) 23.3

Water 10.0

5

The ablative-absorbing layer accepted water and did not accept ink when exposed to the ink and water of a wet lithographic printing system.

10 An ink-accepting first layer from a water-based formulation, as described in Example 1, of this invention was then overcoated upon the ablative-absorbing second layer. It was cured for 120 seconds at 145 °C.

15 Imaging, cleaning, and testing for resolution and wet rub resistance were done as described in Example 1 of this invention. Plates were imaged on Presstek PEARLSETTER 74, and the laser energy at the plate surface was approximately 500 mj/cm<sup>2</sup>.

The following summarizes the results:

20	<u>Ease of Cleaning</u>	<u>Best Dots Cleaned</u>	<u>Best Dots Wet Rubbed</u>	<u>Banding</u>
	Washes Easily	1%	2%	None

25 The weight per cent of p-toluenesulfonic acid component based on the combined weight of polymers present, including the BACOTE 20 crosslinking agent, was 289.4 weight per cent. It can be seen that a large amount of p-toluenesulfonic acid component combined with a specific polyvinyl alcohol-based formulation provides a non-ink accepting ablative absorbing layer that significantly improves the ease of cleaning and  
30 resolution and eliminates diode banding. The NACURE 2530 with its p-toluenesulfonic acid component also provided significant dispersion stability and coatability properties to this formulation.

Best Available Copy

Example 6

Lithographic printing plates in accordance with the invention were prepared using  
5 a 5 mil thick polyester film suitable for coating with aqueous coatings. The polyester  
substrate was coated with the hydrophilic third layer, as described in Example 1 of this  
invention, and cured for 120 seconds at 145° C. The following ablative-absorbing second  
layer was coated on the hydrophilic third layer and cured for 120 seconds at 145° C.

10	<u>Component</u>	<u>Parts (6A)</u>	<u>Parts (6B)</u>
	AIRVOL 125 (5% solids in water)	22.0	22.0
15	TRITON X-100 (10% solids in water)	1.8	1.8
	2-Butoxyethanol	1.9	1.9
	CYMEL 303	0.70	0.70
20	CAB-O-JET 200 (20% solids in water)	23.5	23.5
	NACURE 2530 (25% PTSA)	1.20	5.50
25	Water	48.9	44.6

An ink-accepting first layer from a water-based formulation, as described in  
Example 1 of this invention, was overcoated upon the second layer and then cured for  
30 120 seconds at 145 °C.

Imaging, cleaning, and testing for resolution and wet rub resistance were done as  
described in Example 1 of this invention. The plate was imaged on a Presstek

Best Available Copy

PEARLSETTER 74, and the laser energy at the plate surface was approximately 600 mj/cm<sup>2</sup>.

The following summarizes the results:

5

<u>Plate</u>	<u>Ease of Cleaning</u>	<u>Best Dots Cleaned</u>	<u>Best Dots Wet Rubbed</u>	<u>Banding</u>
10 6A	Would Not Clean Up	Not Applicable	Not Applicable	Not
Applicable				
6B	Good	1%	2%	None

15 The ablative-absorbing second layer of Plate 6A has 16.7 weight per cent of p-toluenesulfonic acid component based on the total weight of polymers in the second layer. For Plate 6B, the weight per cent of p-toluenesulfonic acid component based on the total weight of polymers in the second layer is 76.4 weight per cent. It can be seen that a large amount of p-toluenesulfonic acid component in the ablative-absorbing second layer of a  
20 plate of this invention with a flexible hydrophilic polyester film support significantly improves the ease of cleaning, provides good resolution, and eliminates diode banding. In contrast, a lower amount of p-toluenesulfonic acid component did not clean up after laser imaging and thus was not applicable for evaluating banding and resolution after cleaning and wet rub testing.

25

While the invention has been described in detail and with reference to specific embodiments thereof, it will be apparent to one skilled in the art that various changes and modifications can be made without departing from the spirit and scope thereof.

30

Best Available Copy

## WHAT IS CLAIMED IS:

1. A positive working, wet lithographic printing member imageable by laser radiation, said member comprising:

5 (a) an ink-accepting surface layer characterized by the absence of ablative absorption of said laser radiation;

(b) a second layer underlying the surface layer, said second layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation; and,

10 (c) a hydrophilic substrate;

wherein interposed between said second layer and said substrate is a primer layer comprising an adhesion-promoting agent, said primer layer being characterized by being hydrophilic, by the absence of ablative absorption of said laser radiation, by being not ablated by said ablative absorption of said second layer, and by  
15 being not soluble in water.

2. The member of claim 1, wherein said primer layer is further characterized by being not removed by said ablative absorption of said second layer followed by a cleaning step with water or a cleaning solution to remove any residue of said ablative  
20 absorption of said second layer from the surface of said primer layer.

3. The member of claim 1, wherein the thickness of said primer layer is from about 0.01 to about 2 microns.

25 4. The member of claim 1, wherein the thickness of said primer layer is from about 0.01 to about 0.1 microns.

5. A positive working, wet lithographic printing member imageable by laser radiation, said member comprising:

30 (a) an ink-accepting surface layer characterized by the absence of ablative absorption of said laser radiation;

Best Available Copy

(b) a second layer underlying the surface layer, said second layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation; and,

(c) a hydrophilic substrate;

5 wherein interposed between said second layer and said substrate is a primer layer comprising a zirconium compound, said primer layer being characterized by being hydrophilic, by the absence of ablative absorption of said laser radiation, by being not ablated by said ablative absorption of said second layer, and by being not soluble in water.

10

6. The member of claim 5, wherein said zirconium compound is ammonium zirconyl carbonate.

15

7. The member of claim 5, wherein said zirconium compound is zirconium propionate.

8. The member of claim 5, wherein said zirconium compound is zirconium oxide.

20

9. A positive working, wet lithographic printing member imageable by laser radiation, said member comprising:

(a) an ink-accepting surface layer characterized by the absence of ablative absorption of said laser radiation;

25 (b) a second layer underlying the surface layer, said second layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation; and,

(c) a hydrophilic substrate;

30 wherein interposed between said second layer and said substrate is a primer layer, which primer layer is an inorganic gel layer and is characterized by being hydrophilic, by the absence of ablative absorption of said laser radiation, by being not

Best Available Copy

ablated by said ablative absorption of said second layer, and by being not soluble in water.

10. The member of claim 9, wherein said inorganic gel layer comprises a  
5 zirconium oxide gel.

11. A positive working, wet lithographic printing member imageable by laser radiation, said member comprising:

(a) an ink-accepting surface layer characterized by the absence of ablative  
10 absorption of said laser radiation;

(b) a second layer underlying the surface layer, said second layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation;

(c) a hydrophilic third layer underlying the second layer, said third layer  
15 characterized by the absence of ablative absorption of said laser radiation; and,

(d) a substrate;

wherein interposed between said second layer and said third layer is a primer layer comprising an adhesion-promoting agent, said primer layer characterized by being hydrophilic, by the absence of ablative absorption of said laser radiation, by being  
20 not ablated by said ablative absorption of said second layer, and by being not soluble in water.

12. The member of claim 11, wherein said primer layer is further characterized by being not removed by said ablative absorption of said second layer followed by a  
25 cleaning step with water or a cleaning solution to remove any residue of said ablative absorption of said second layer from the surface of said primer layer.

13. A positive working, wet lithographic printing member imageable by laser radiation, said member comprising:

30 (a) an ink-accepting surface layer characterized by the absence of ablative absorption of said laser radiation;

(b) a second layer underlying the surface layer, said second layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation;

(c) a hydrophilic third layer underlying the second layer, said third layer  
5 characterized by the absence of ablative absorption of said laser radiation; and,

(d) a substrate;

wherein interposed between said second layer and said third layer is a primer layer comprising a zirconium compound, said primer layer characterized by being hydrophilic, by the absence of ablative absorption of said laser radiation, by being not  
10 ablated by said ablative absorption of said second layer, and by being not soluble in water.

14. The member of claim 13, wherein said zirconium compound is ammonium zirconyl carbonate.

15. The member of claim 13, wherein said zirconium compound is zirconium propionate.

16. The member of claim 13, wherein said zirconium compound is zirconium  
20 oxide.

17. A positive working, wet lithographic printing member imageable by laser radiation, said member comprising:

(a) an ink-accepting surface layer characterized by the absence of ablative  
25 absorption of said laser radiation;

(b) a second layer underlying the surface layer, said second layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation;

(c) a hydrophilic third layer underlying the second layer, said third layer  
30 characterized by the absence of ablative absorption of said laser radiation; and,

(d) a substrate;

Best Available Copy

wherein interposed between said second layer and said third layer is a primer layer, which primer layer is an inorganic gel layer and is characterized by being hydrophilic, by the absence of ablative absorption of said laser radiation, by being not ablated by said ablative absorption of said second layer, and by being not soluble in water.

18. The member of claim 17, wherein said inorganic gel layer comprises a zirconium oxide gel.

19. A positive working, wet lithographic printing member imageable by laser radiation, comprising:

- (a) an ink-accepting surface layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation; and,
- (b) a hydrophilic substrate;

wherein interposed between said surface layer and said substrate is a primer layer comprising an adhesion-promoting agent, said primer layer being characterized by being hydrophilic, by the absence of ablative absorption of said laser radiation, by being not ablated by said ablative absorption of said surface layer, and by being not soluble in water.

20. The member of claim 19, wherein said primer layer is further characterized by being not removed by said ablative absorption of said surface layer followed by a cleaning step with water or a cleaning solution to remove any residue of said ablative absorption of said surface layer from the surface of said primer layer.

21. A positive working, wet lithographic printing member imageable by laser radiation, said member comprising:

- (a) an ink-accepting surface layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation; and,
- (b) a hydrophilic substrate;



wherein interposed between said surface layer and said substrate is a primer layer comprising a zirconium compound, said primer layer being characterized by being hydrophilic, by the absence of ablative absorption of said laser radiation, by being not ablated by said ablative absorption of said surface layer, and by being not soluble in  
5 water.

22. The member of claim 4, wherein said zirconium compound is ammonium zirconyl carbonate.

10 23. The member of claim 21, wherein said zirconium compound is zirconium propionate.

24. The member of claim 21, wherein said zirconium compound is zirconium oxide.

15 25. A positive working, wet lithographic printing member imageable by laser radiation, said member comprising:

(a) an ink-accepting surface layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation; and,

20 (b) a hydrophilic substrate;

wherein interposed between said surface layer and said substrate is a primer layer, which primer layer is an inorganic gel layer and is characterized by being hydrophilic, by the absence of ablative absorption of said laser radiation, by being not ablated by said ablative absorption of said surface layer, and by being not soluble in  
25 water.

26. The member of claim 25, wherein said inorganic gel layer comprises a zirconium oxide gel.

30 27. A positive working, wet lithographic printing member imageable by laser radiation, said member comprising:

Best Available Copy

(a) an ink-accepting surface layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation;

(b) a hydrophilic polymeric layer underlying the surface layer, said hydrophilic polymeric layer being characterized by the absence of ablative absorption of  
5 said laser radiation; and,

(c) a substrate;

wherein interposed between said surface layer and said hydrophilic polymeric layer is a primer layer comprising an adhesion-promoting agent, said primer layer being characterized by being hydrophilic, by the absence of ablative absorption of  
10 said laser radiation, by being not ablated by said ablative absorption of said surface layer, and by being not soluble in water.

28. The member of claim 27, wherein said primer layer is further characterized by being not removed by said ablative absorption of said surface layer followed by a  
15 cleaning step with water or a cleaning solution to remove any residue of said ablative absorption of said surface layer from the surface of said primer layer.

29. A positive working, wet lithographic printing member imageable by laser radiation, said member comprising:

20 (a) an ink-accepting surface layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation;

(b) a hydrophilic polymeric layer underlying the surface layer, said hydrophilic polymeric layer being characterized by the absence of ablative absorption of  
said laser radiation; and,

25 (c) a substrate;

wherein interposed between said surface layer and said hydrophilic polymeric layer is a primer layer comprising a zirconium compound, said primer layer being characterized by being hydrophilic, by the absence of ablative absorption of said  
laser radiation, by being not ablated by said ablative absorption of said surface layer, and  
30 by being not soluble in water.

30. The member of claim 29, wherein zirconium compound is ammonium zirconyl carbonate.

31. The member of claim 29, wherein said zirconium compound is zirconium propionate.

32. The member of claim 29, wherein said zirconium compound is zirconium oxide.

33. A positive working, wet lithographic printing member imageable by laser radiation, said member comprising:

(a) an ink-accepting surface layer comprising one or more polymers and being characterized by the ablative absorption of said laser radiation;

(b) a hydrophilic polymeric layer underlying the surface layer, said hydrophilic polymeric layer being characterized by the absence of ablative absorption of said laser radiation; and,

(c) a substrate;

wherein interposed between said surface layer and said hydrophilic polymeric layer is a primer layer which primer layer is an inorganic gel layer and is characterized by being hydrophilic, by the absence of ablative absorption of said laser radiation, by being not ablated by said ablative absorption of said surface layer, and by being not soluble in water.

34. The member of claim 32, wherein said inorganic gel layer comprises a zirconium oxide gel.

35. A method of preparing an imaged wet lithographic printing plate, said method comprising the steps of:

(a) providing a wet lithographic printing member according to claim 1;

(b) exposing said member to a desired imagewise exposure of laser radiation to ablate a part of the surface layer of said member and to ablate a part of the

Best Available Copy

second layer of said member to form a residual composite layer comprising non-ablated materials of said surface layer and non-ablated materials of said second layer, said residual composite layer being in contact with the primer layer of said member; and

- 5 (c) cleaning the residual composite layer from said primer layer with water or a cleaning solution, wherein the ink-accepting surface layer of said member is not soluble in water or said cleaning solution.

36. A method of preparing an imaged wet lithographic printing plate, said method comprising the steps of:

- 10 (a) providing a wet lithographic printing member according to claim 11;  
(b) exposing said member to a desired imagewise exposure of laser radiation to ablate a part of the surface layer of said member and to ablate a part of the second layer of said member to form a residual composite layer comprising non-ablated materials of said surface layer and non-ablated materials of said second layer, said  
15 residual composite layer being in contact with the primer layer of said member; and  
(c) cleaning the residual composite layer from said primer layer with water or a cleaning solution, wherein the ink-accepting surface layer of said member is not soluble in water or said cleaning solution.

20 37. A method of preparing an imaged wet lithographic printing plate, said method comprising the steps of:

- (a) providing a wet lithographic printing member according to claim 19;  
(b) exposing said member to a desired imagewise exposure of laser radiation to ablate a part of the surface layer of said member to form a residual composite  
25 layer comprising non-ablated materials of said surface layer, said residual composite layer being in contact with the primer layer of said member; and  
(c) cleaning the residual composite layer from said primer layer with water or a cleaning solution, wherein the ink-accepting surface layer of said member is not soluble in water or in said cleaning solution.

30

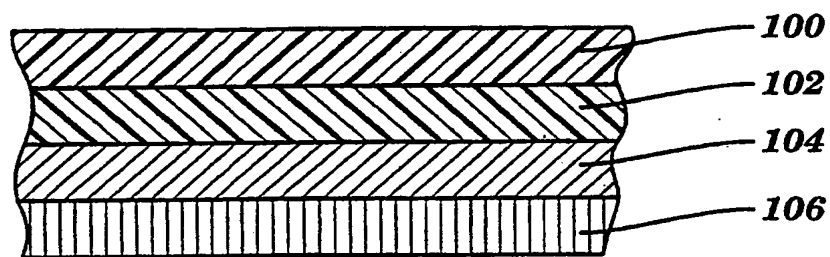
38. A method of preparing an imaged wet lithographic printing plate, comprising the steps of:

- (a) providing a wet lithographic printing member according to claim 27;
- (b) exposing said member to a desired imagewise exposure of laser  
5 radiation to ablate a part of the surface layer of said member to form a residual composite layer comprising non-ablated materials of said surface layer, said residual composite layer being in contact with the primer layer of said member; and
- (c) cleaning the residual composite layer from said primer layer with  
10 water or a cleaning solution, wherein the ink-accepting surface layer of said member is not soluble in water or in said cleaning solution.

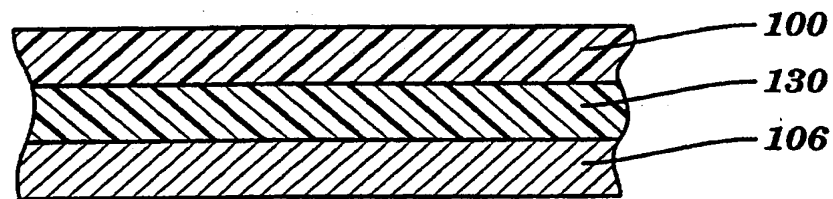
15

20

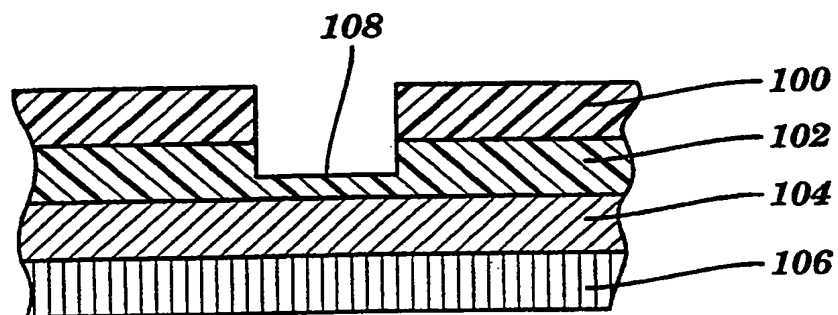
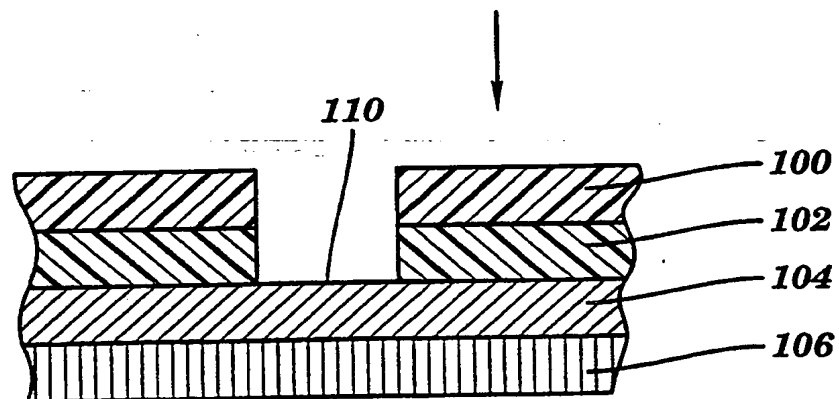
Best Available Copy



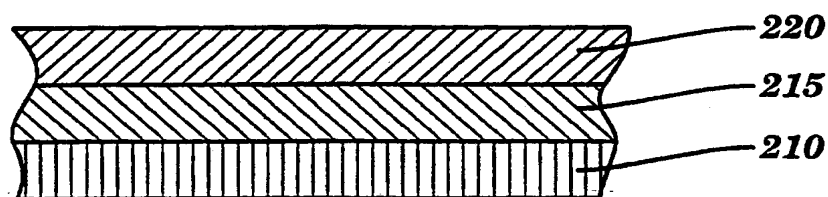
**FIG. 1**



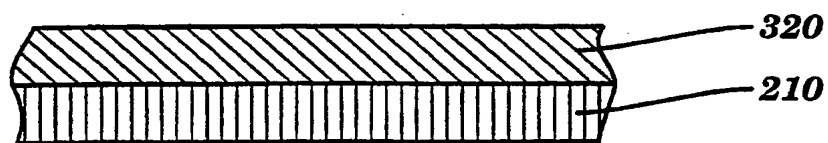
**FIG. 3**

**FIG. 2A****FIG. 2B**

Best Available Copy



**FIG. 4**



**FIG. 5**



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/21974**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) :B41N 1/14

US CL :101/457, 458, 462, 467

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 101/453, 454, 457, 458, 459, 460, 462, 463.1, 465-467; 430/302

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NoneElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
None**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,691,063 A (Davis et al.) 25 November 1997 (25-11-1997), column 4 lines 53-57.	1-4, 11, 12, 35, 36
Y	US 5,351,617 A (Williams et al.) 04 October 1994 (04-10-1994), column 15 line 65 - column 16 line 64.	1-4, 11, 12, 35, 36
X	US 5,605,780 A (Burberry et al.) 25 February 1997 (25-02-1997), Abstract and column 6 line 64 - column 7 line 7.	19, 20, 37
A	US 5,931,097 A (Neifert et al.) 03 August 1999 (03-08-1999), column 4 lines 7-10.	1-18, 35, 36
A	US 5,493,071 A (Lewis et al.) 27 February 1996 (27-02-1996), see entire document.	19-34, 37, 38

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

07 DECEMBER 1999

Date of mailing of the international search report

08 FEB 2000

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

For STEPHEN R. FUNK

Telephone No. (703) 308-0982

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/21974

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☒ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/21974

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains claims directed to more than one species of the generic invention. These species are deemed to lack Unity of Invention because they are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for more than one species to be searched, the appropriate additional search fees must be paid. The species are as follows:

- I) Figures 1-3;
- II) Figures 4-5.

The claims are deemed to correspond to the species listed above in the following manner:

- I) Claims 1-18, 35, and 36;
- II) Claims 19-34, 37, and 38.

The following claims are generic: None

The species listed above do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: Species I includes a printing member having an ink accepting top layer characterized by no ablative absorption of laser radiation whereas Species II includes an ink accepting top layer characterized by ablative absorption of laser radiation.

**Best Available Copy**

**THIS PAGE BLANK (USPTO)**